

# CHROMATIC FIXED POINT THEORY AND THE BALMER SPECTRUM FOR EXTRASPECIAL 2-GROUPS.

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ABSTRACT. In the early 1940's, P.A.Smith showed that if a finite  $p$ -group  $G$  acts on a finite dimensional complex  $X$  that is mod  $p$  acyclic, then its space of fixed points,  $X^G$ , will also be mod  $p$  acyclic.

In their recent study of the Balmer spectrum of equivariant stable homotopy theory, Balmer and Sanders were led to study a question that can be shown to be equivalent to the following: if a  $G$ -space  $X$  is a equivariant homotopy retract of the  $p$ -localization of a based finite  $G$ -C.W. complex, given  $H < G$  and  $n$ , what is the smallest  $r$  such that if  $X^H$  is acyclic in the  $(n + r)$ th Morava  $K$ -theory, then  $X^G$  must be acyclic in the  $n$ th Morava  $K$ -theory? Barthel et. al. then answered this when  $G$  is abelian, by finding general lower and upper bounds for these 'blue shift' numbers which agree in the abelian case.

In our paper, we first prove that these potential chromatic versions of Smith's theorem are equivalent to chromatic versions of a 1952 theorem of E.E.Floyd, which replaces acyclicity by bounds on dimensions of mod  $p$  homology, and thus applies to all finite dimensional  $G$ -spaces. This unlocks new techniques and applications in chromatic fixed point theory.

Applied to the problem of understanding blue shift numbers, we are able to use classic constructions and representation theory to search for lower bounds. We give a simple new proof of the known lower bound theorem, and then get the first results about nonabelian 2-groups that don't follow from previously known results. In particular, we are able to determine all blue shift numbers for extraspecial 2-groups.

Applied in ways analogous to Smith's original applications, we prove new fixed point theorems for  $K(n)_*$ -homology disks and spheres.

Finally, our methods offer a new way of using equivariant results to show the collapsing of certain Atiyah-Hirzebruch spectral sequences in certain cases. Our criterion appears to apply to the calculation of all 2-primary Morava  $K$ -theories of all real Grassmanians.

## 1. INTRODUCTION

If a finite group  $G$  acts on a space  $X$ , we let  $X^G$  denote its subspace of fixed points. We say that  $X$  is based if it has a basepoint fixed by  $G$ . We also recall that if  $N < G$  is normal, then  $X^N$  will be a  $G/N$ -space and  $(X^N)^{G/N} = X^G$ .

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With a series of papers beginning with [S38], P. A. Smith introduced homological methods to study the structure of the fixed points of  $G$ -spaces, when  $G$  is a  $p$ -group. In particular, [S41, Theorem II] shows the following:

*Let  $H$  be a subgroup of a finite  $p$ -group  $G$ , and let  $X$  be a finite dimensional  $G$ -C.W. complex.*

$$(1.1) \quad \text{If } H_*(X^H; \mathbb{Z}/p) \simeq \mathbb{Z}/p \text{ then } H_*(X^G; \mathbb{Z}/p) \simeq \mathbb{Z}/p.$$

Since there exists a normal series  $H = K_0 \triangleleft \cdots \triangleleft K_r = G$  with all subquotients cyclic of order  $p$ , an inductive argument shows that this theorem for all pairs  $H < G$  is a consequence of the special case  $\{e\} < C_p$ .

Note that restricted to based  $G$ -spaces – spaces for which a fixed point has already been assumed to exist, – (1.1) can be written as

$$(1.2) \quad \text{If } \tilde{H}_*(X^H; \mathbb{Z}/p) = 0 \text{ then } \tilde{H}_*(X^G; \mathbb{Z}/p) = 0.$$

A decade later, E.E.Floyd upgraded this result to one that gives information about all finite  $G$ -spaces, not just those that are  $\mathbb{Z}/p$ -acyclic. [F52, Theorem 4.4] shows the following:

*Let  $H$  be a subgroup of a finite  $p$ -group  $G$ , and let  $X$  be a finite dimensional  $G$ -C.W. complex such that  $\dim_{\mathbb{Z}/p} H_*(X^H; \mathbb{Z}/p)$  is finite.*

$$(1.3) \quad \text{Then } \dim_{\mathbb{Z}/p} H_*(X^H; \mathbb{Z}/p) \geq \dim_{\mathbb{Z}/p} H_*(X^G; \mathbb{Z}/p).$$

As before, the theorem follows from the special case  $\{e\} < C_p$ . Note that this theorem restricted to based  $G$ -spaces is equivalent to the general case, as, when  $X$  is unbased, the inequality for the based space  $X_+$ , the union of  $X$  with a disjoint fixed basepoint, implies the inequality for  $X$ .

Also proved by Floyd was an Euler characteristic condition: with  $G$ ,  $H$ , and  $X$  as above,

$$(1.4) \quad \chi(X^H) \equiv \chi(X^G) \pmod{p}.$$

It is classical [Bre72, Theorem III.5.2] that (1.3) and (1.4) imply the unbased form of Smith's theorem (1.1). (See our proof of Theorem 2.20 for the same reasoning.)

In unpublished work by Strickland [S10], and more recent work by Balmer and Sanders [BS17] and Barthel and coauthors [6A19], the study of chromatic versions of Smith's theorem have arisen in work on the Balmer spectrum of the category of compact objects in the  $G$ -equivariant stable homotopy category, where  $G$  is a finite group. In the key case when  $G$  is a  $p$ -group, one is studying the tensor-triangulated ideals of compact objects in the  $p$ -local  $G$ -equivariant stable homotopy category.

To explain what these chromatic versions of Smith's theorem are, we need a definition.

**Definition 1.1.** Let  $G$  be a finite  $p$ -group. A based  $G$ -space is a  $p$ -local finite  $G$ -space if it is a homotopy retract of the  $p$ -localization of a finite based  $G$ -C.W. complex. (If  $G$  is trivial, we will call such a space a  $p$ -local finite space.)

We note that such spaces are  $G$ -spaces for which Floyd's theorem (1.3) applies: see Lemma 3.1.

The connection with the work on the Balmer spectrum is via a lemma.

**Lemma 1.2.** [BGH20, Lemma 2.2] *Let  $G$  be a finite  $p$ -group. Compact objects in the  $p$ -local  $G$ -equivariant stable homotopy category are spectra  $Y$  of the form  $Y \simeq S^{-W} \Sigma_G^\infty X$ , where  $W$  is a real representation of  $G$ , and  $X$  is a  $p$ -local finite  $G$ -space as just defined.*

Now recall that, for each prime  $p$ , the Morava  $K$ -theories are a family of generalized homology theories equipped with products.  $K(0)_*(X) = H_*(X; \mathbb{Q})$  and, for  $n \geq 1$ , the theory  $K(n)_*(X)$  has coefficient ring  $K(n)_*$  equal to the graded field  $\mathbb{Z}/p[v_n^{\pm 1}]$ , with  $|v_n| = 2p^n - 2$ , and satisfies a K uneth theorem. It is sometimes convenient to let  $K(\infty)_*(X) = H_*(X; \mathbb{Z}/p)$ .

We then have the following problem.

**Problem 1.3.** Given a finite  $p$ -group  $G$ , and subgroup  $H$ , for what pairs  $(n, m)$  is it true that for all  $p$ -local finite  $G$ -spaces  $X$ ,

$$\text{if } \tilde{K}(m)_*(X^H) = 0 \text{ then } \tilde{K}(n)_*(X^G) = 0?$$

When this is true, we will say that *the  $(G, H, n, m)$  Chromatic Smith Theorem* holds.

Smith's theorem (1.2) tells us that the  $(G, H, \infty, \infty)$  Chromatic Smith Theorem holds for all  $H \leq G$ . The existence of the Atiyah–Hirzebruch Spectral Sequence (AHSS) implies that if a space is acyclic in mod  $p$  homology then it is  $K(n)_*$ -acyclic for all  $n < \infty$ , and thus the  $(G, H, n, \infty)$  Chromatic Smith Theorem also holds for all finite  $n$ .

Nonequivariant work of Doug Ravenel and Steve Mitchell in the late 1970's and early 1980's gives us more information.

In [Rav84, Theorem 2.11], Ravenel proved the following:

*Let  $X$  be a  $p$ -local finite space.*

$$(1.5) \quad \text{If } \tilde{K}(m)_*(X) = 0 \text{ then } \tilde{K}(n)_*(X) = 0 \text{ for all } n < m.$$

We can conclude that the  $(G, G, n, m)$  Chromatic Smith Theorem holds if  $n \leq m$ .

Mitchell [M85a] then showed that for each  $n < \infty$ , there exists a 'type  $n$ ' complex: a  $p$ -local finite space  $X$  with  $\tilde{K}(n)_*(X) \neq 0$  but  $\tilde{K}(m)_*(X) = 0$  for all  $m < n$ . Any type  $n$  complex  $X$  equipped with a trivial  $G$ -action yields an example for which  $\tilde{K}(n)_*(X^G) \neq 0$  but  $\tilde{K}(m)_*(X^H) = 0$  for all  $m < n$  and  $H \leq G$ . Thus the  $(G, H, n, m)$  Chromatic Smith Theorem can possibly hold only if  $n \leq m$ .

The general problem can thus be regarded as the search for the correct common generalization of Ravenel’s and Smith’s theorems. We note that, though normal series of the form  $H = K_0 \triangleleft \cdots \triangleleft K_r = G$  give some information from simpler cases, they don’t necessarily give all. Examples in this paper will make this very clear.

As we will review in §4, given a finite  $p$ -group  $G$ , answering Problem 1.3 for all  $(H, K, n, m)$  with  $K < H < G$  is equivalent to the following problems in stable equivariant homotopy:

- identifying the inclusions between prime ideals in the Balmer spectrum for the  $p$ -local  $G$ -equivariant stable homotopy category,
- characterizing which ‘chromatic type functions’ can be realized by finite  $G$ -spaces or spectra.

The paper [BS17] made clear that these are deep and interesting problems to study, with solutions organized by the need to compute ‘blue shift’ numbers, which they were able to compute when  $G = C_p$ . Then in [6A19], these problems were solved when  $G$  is an abelian  $p$ -group, by finding general group theoretic upper and lower bounds for blue shift numbers which agree when  $G$  is abelian.

In our paper, we first show that chromatic Smith theorems are surprisingly *equivalent* to chromatic versions of Floyd’s theorem. We look backwards to show this: our proof uses an idea of Jeff Smith critically used in a nonequivariant setting by Mike Hopkins and Smith [HS98], in their mid 1980’s proof of the Periodicity Theorem. Some special (modular) representations of the symmetric groups are used, as in [Rav92, Appendix C] and [S90].

The equivalence of the chromatic Smith and Floyd theorem problems then allows us to use classic constructions and (ordinary) representation theory to search for examples giving blue shift number lower bounds. We give a simple new proof of the lower bound theorem of [6A19], and then get the first results about nonabelian groups that don’t follow from previously known results. In particular, we are able to answer Problem 1.3 for a family of 2-groups which include all extraspecial 2-groups.

One can also now apply chromatic Floyd theorems in situations where the corresponding chromatic Smith theorems have already been proved. As a first application, we can deduce unbased versions of chromatic Smith theorems: these are results that cannot be deduced just using theorems in [6A19]. Similarly, we get results about group actions on  $K(n)_*$ -homology spheres, parallel to the classic case, illustrated with a rather down-to-earth result about involutions on the 5-dimensional Wu manifold. Finally, we give a novel method using our Floyd theorems to show that, for some spaces  $X$ , the AHSS computing  $K(n)_*(X)$  collapses after the first nonzero differential. Again there are examples with familiar spaces: in [KL21], summarized here in Example 2.27, we show that our criteria apply to the calculation of  $K(n)_*(Gr_d(\mathbb{R}^n))$  in many, and conjecturally all, cases.

In the next section, we will describe our main results in detail.

**1.1. Acknowledgements.** Our example resolving the open question about the Balmer spectrum when  $G = D_8$  was first found in June, 2019, with a computer search by the second author using GAP. The second extraspecial 2–group example, with  $G$  of order 32, was also found this way, which led to us discovering this infinite family of examples. Tim Dokchister’s lovely website GroupNames has been useful in thinking about these and other examples.

The  $D_8$  example was presented by the first author in a talk in Oberwolfach in August, 2019 [KL19]. At the same meeting, Markus Hausmann asked if a chromatic Floyd theorem might be true, and it eventually occurred to us that we had been working for awhile with an answer to this question, leading to our presentation here.

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## 2. MAIN RESULTS

To describe our main results, it will be useful to introduce some notation: let  $k_n(X) = \dim_{K(n)_*} \tilde{K}(n)_*(X)$ , when  $X$  is a based  $G$ –space and this dimension is finite. When  $X$  is a  $p$ –local finite space, the numbers  $k_0(X), k_1(X), k_2(X), \dots$  form a nondecreasing sequence: see Remark 2.3(b) below. Furthermore, as the AHSS converging to  $K(n)_*(X)$  clearly collapses at  $E_{*,*}^2 = H_*(X; K(n)_*)$  if the dimension of  $X$  is less than  $|v_n| + 1 = 2p^n - 1$ , this sequence stabilizes at  $k_\infty(X) = \dim_{\mathbb{Z}/p} H_*(X; \mathbb{Z}/p)$ .

**2.1. Chromatic Floyd theorems.** The Floyd theorem analogue of our chromatic Smith theorem problem, Problem 1.3, goes as follows.

**Problem 2.1.** Given a finite  $p$ –group  $G$ , and subgroup  $H$ , for what pairs  $(n, m)$  is it true that for all  $p$ –local finite  $G$ –spaces  $X$ ,

$$k_m(X^H) \geq k_n(X^G)?$$

When this is true, we will say that *the  $(G, H, n, m)$  Chromatic Floyd Theorem* holds.

The  $(G, H, n, m)$  Chromatic Floyd Theorem clearly implies the  $(G, H, n, m)$  Chromatic Smith Theorem. Our perhaps surprising discovery is that they are, in fact, equivalent.

**Theorem 2.2.** *If the  $(G, H, n, m)$  Chromatic Smith Theorem is true then the  $(G, H, n, m)$  Chromatic Floyd Theorem is true.*

In §6, we prove this theorem in its contrapositive form. An outline of the argument, an adaption of J. Smith’s construction [Rav92, Appendix C] to our equivariant setting, goes roughly as follows. If the  $(G, H, n, m)$  Chromatic Floyd Theorem is not true, there exists a  $p$ –local finite  $G$ –space  $X$  with  $k_m(X^H) < k_n(X^G)$ . We then consider a stable wedge summand  $F$  of the  $G$ –space  $X^{\wedge k}$  having the form  $F = eX^{\wedge k}$ , where  $k$  is (roughly)

determined by the number  $k_n(X^G)$ , and  $e$  is a well chosen idempotent in the group ring  $\mathbb{Z}_{(p)}[\Sigma_k]$  of the symmetric group  $\Sigma_k$ . The properties of  $e$  allow us to show that  $F^H$  is  $K(m)_*$ -acyclic but  $F^G$  is not  $K(n)_*$ -acyclic, and  $F$  thus witnesses that the  $(G, H, n, m)$  Chromatic Smith Theorem is not true.

*Remarks 2.3.* **(a)** When  $n = m = \infty$  we learn that the classical Smith Theorem implies the classical Floyd Theorem. This seems to be a new observation, but is perhaps of only modest interest, as modern expositions of Smith theory – see §3.1 – tend to prove Floyd’s result first.

**(b)** When  $G$  is trivial, the theorem combined with Ravenel’s acyclicity result cited above implies that if  $m \geq n \geq 0$  then  $k_m(X) \geq k_n(X)$  for all  $p$ -local finite spectra. In fact, [Rav84, Thm. 2.11] already shows that this stronger result holds. But one does learn something new for a related result: with a proof quite different from Ravenel’s, in [Bou99], Pete Bousfield showed that if  $X$  is *any* nonempty space such that  $\tilde{K}(m)_*(X) = 0$ , then  $\tilde{K}(n)_*(X) = 0$  for all  $m \geq n \geq 1$ . Part of our argument, stated as Theorem 6.1, applies to all spaces, and we learn that if  $X$  is any space such that  $\dim_{K(m)_*} K(m)(X)$  is finite, then, for all  $m \geq n \geq 1$ ,  $\dim_{K(n)_*} K(n)_*(X)$  will also be finite, and  $k_m(X) \geq k_n(X)$ .

*Remark 2.4.* Problem 1.3 and Problem 2.1 are really problems about equivalence classes of pairs  $(G, H)$  with  $H \leq G$ , where  $(G_1, H_1) \sim (G_2, H_2)$  if there exists an isomorphism  $\alpha : G_1 \xrightarrow{\sim} G_2$  such that  $\alpha(H_1) = H_2$ . For given a  $G_2$ -space  $X_2$ , one can let  $X_1$  be the  $G_1$ -space which is  $X_2$  with  $G_1$ -action given by the formula  $g \cdot x = \alpha(g)x$  for all  $g \in G_1$ . Then  $X_1^{G_1}$  will be homeomorphic to  $X_2^{G_2}$ , and  $X_1^{H_1}$  will be homeomorphic to  $X_2^{H_2}$ . We conclude that the chromatic Smith theorem holds for  $(G_1, H_1, n, m)$  if and only if it holds for  $(G_2, H_2, n, m)$ , and similarly for the chromatic Floyd theorem. Specializing to the case when  $G_1 = G_2 = G$ , we learn that if  $\alpha$  is an automorphism of  $G$ , then the chromatic Smith theorem holds for  $(G, H, n, m)$  if and only if it holds for  $(G, \alpha(H), n, m)$ . (In the stable setting of [BS17], this reasoning shows that  $Out(G)$  will act as a group of homeomorphisms on the Balmer spectrum for the  $G$ -equivariant stable homotopy category.)

**2.2. Application to the computation of blue shift numbers.** The results of Mitchell and Ravenel show that if the  $(G, H, n, n+r)$  Chromatic Smith Theorem holds then

- $r \geq 0$  and
- the  $(G, H, n, n+r')$  Chromatic Smith Theorem holds for all  $r' \geq r$ .

Translated from the stable setting, [BS17, Proposition 7.1] is Strickland’s result that for all finite  $n$ , the  $(C_p, \{e\}, n, n+1)$  Chromatic Smith Theorem holds. From this, one can deduce that, for all  $H \leq G$ , the  $(G, H, n, n+r)$  Chromatic Smith Theorem holds if the index of  $H$  in  $G$  is  $p^r$ . (The authors of [BS17] thank Strickland for the inspiration for their Proposition 7.1, which appears, with essentially the same proof, as Proposition 16.9 in [S10] dating from 2010. See also §3 of [J20], first posted in preprint form in 2015.)

This allows us to make the next definition, following the lead in [6A19].

**Definition 2.5.** Let  $r_n(G, H)$  be defined to be the smallest  $r$  such that the  $(G, H, n, n+r)$  Chromatic Smith Theorem is true, i.e. the smallest  $r$  so that for all  $p$ -local finite  $G$ -spaces  $X$ ,

$$\text{if } \tilde{K}(n+r)_*(X^H) = 0 \text{ then } \tilde{K}(n)_*(X^G) = 0.$$

From the above, we see that  $0 \leq r_n(G, H) \leq \log_p[G : H]$ . The paper [BS17] also shows that  $1 \leq r_n(C_p, \{e\})$  so that  $r_n(C_p, \{e\}) = 1$ . The paper [6A19] then goes further and shows that  $r \leq r_n((C_p)^r, \{e\})$  and  $r_n(C_{p^k}, \{e\}) \leq 1$ . As we will explain in §5, these two results together imply general group theoretic bounds for all  $H < G$ :

$$r_-(G, H) \leq r_n(G, H) \leq r_+(G, H),$$

where  $r_-(G, H)$  and  $r_+(G, H)$  are defined as follows.

**Definitions 2.6.** Let  $H$  be a subgroup of a finite  $p$ -group  $G$ .

(a) Let  $r_-(G, H) = \text{rank } G/H\Phi(G)$ . Here  $H\Phi(G) < G$  is the subgroup generated by  $H$  and the Frattini subgroup  $\Phi(G)$ , so that  $G/H\Phi(G)$  is the maximal elementary abelian  $p$ -group quotient of  $G$  with  $H$  in the kernel.

(b) Let  $r_+(G, H)$  be the minimal  $r$  such that there exists a chain of subgroups  $H = K_0 \triangleleft K_1 \triangleleft \cdots \triangleleft K_r = G$  with each  $K_{i-1}$  normal in  $K_i$  and  $K_i/K_{i-1}$  cyclic.

The lower bound for  $r_-(G, H)$  agrees with the upper bound  $r_+(G, H)$  in some cases, notably whenever  $G$  is abelian, when both bounds equal the rank of  $G/H$ . But they don't agree in general, as one sees already when  $G = D_8$ , the dihedral group of order 8, and  $H = C$ , where  $C$  is any of the noncentral subgroups of order 2:  $r_-(D_8, C) = 1$  while  $r_+(D_8, C) = 2$ .

Our main application of Theorem 2.2 is to use it to give lower bounds for  $r_n(G, H)$  that sometimes improve upon  $r_-(G, H)$ . Meanwhile, there is cautious hope that  $r_n(G, H)$  always equals the upper bound  $r_+(G, H)$ . Perhaps this hope is a bit perverse: at the end of §5, we give some examples showing how badly the function  $r_+(G, H)$  behaves; e.g., it is not always monotone in the variable  $H$ , and it is not always additive under products of pairs.

There is also a conjecture that the value of  $r_n(G, H)$  is independent of  $n$  – a phenomenon seen so far in all examples, but missing any conceptual explanation. Of course, a proof that  $r_n(G, H)$  always equals  $r_+(G, H)$  would prove this.

To possibly show that  $r_n(G, H) = r_+(G, H)$  in general, one needs to improve the lower bound. By definition, a lower bound  $r \leq r_n(G, H)$  means that there exists a  $p$ -local finite  $G$ -space  $X$  with  $X^G$  not  $K(n)_*$ -acyclic, but with  $X^H$   $K(n+r-1)_*$ -acyclic. These are hard to find in the literature; to show that  $r \leq r_n((C_p)^r, \{e\})$ , the authors of [6A19] found one family of examples in the work of Greg Arone and Kathryn Lesh [AL20] (really going back to work of Mitchell [M85a]).

A corollary to Theorem 2.2 offers an easier way forward.

**Corollary 2.7.** *To show that  $r \leq r_n(G, H)$ , it suffices to find a  $p$ -local finite  $G$ -space  $X$  with  $k_{n+r-1}(X^H) < k_n(X^G)$ .*

As we now illustrate, applying this corollary to very classic constructions will allow us a much simplified proof, for all primes, of the existing lower bound found in [6A19], and then better bounds for an infinite family of new examples when  $p = 2$ , including the  $(D_8, C)$  example mentioned above.

**2.2.1. New proofs of old lower bounds at all primes.** If  $\omega$  is a unitary representation of a finite group  $G$ , let  $S(\omega)$  be the sphere of unit length vectors. This is a  $G$ -space, and also a free  $S^1$ -space, where  $S^1 \subset \mathbb{C}$  acts via scalar multiplication. The actions by  $G$  and  $S^1$  commute, and we let  $L_p(\omega) = S(\omega)/C_p$ , where  $C_p < S^1$  is the group of  $p$ th roots of 1.

Thus  $L_p(\omega)$  will be a lens space with an unbased  $G$ -action. We will see that it is easy to analyze the fixed point space  $L_p(\omega)^G$  and then to compute the size of its Morava  $K$ -theories.

We let  $E_r$  denote the elementary abelian  $p$ -group  $C_p^r$ .

**Example 2.8.** Let  $\rho_r^{\mathbb{C}}$  denote the complex regular representation of  $E_r$ . If we let  $\omega = p^n \rho_r^{\mathbb{C}}$ , then

$$k_{n+r-1}(L_p(\omega)_+) = 2p^{n+r-1}$$

which is less than

$$k_n(L_p(\omega)_+^{E_r}) = 2p^{n+r}.$$

Details will be in §7.

Thanks to Corollary 2.7, we recover the lower bound from [6A19], with our elementary examples replacing the much more delicate and technical examples of [6A19, Thm.2.2].

**Theorem 2.9.**  $r \leq r_n(E_r, \{e\})$ .

As already mentioned above, in §5 we will show that from this, one can deduce that  $r_-(G, H) \leq r_n(G, H)$  for all  $n$  and  $H < G$ .

**2.2.2. New lower bounds for the extraspecial 2-groups.** Let  $D_8$  be the dihedral group of order 8, and let  $C < D_8$  be any one of the four noncentral subgroups of order 2. (These are all equivalent under automorphisms of  $D_8$ .) Then  $r_-(D_8, C) = 1$  while  $r_+(D_8, C) = 2$ , and this is the simplest example for which the blue shift numbers  $r_n(D_8, C)$  can not be determined by the results in [6A19].

This example turns out to fit into an infinite family of examples. Let  $\tilde{E}_{2r}$  denote the central product of  $r$  copies of  $D_8$ . This group is the extraspecial 2-group of order  $2^{1+2r}$  associated to a quadratic form  $q : E_{2r} \rightarrow C_2$  of Arf invariant 0. As such, it is a nonabelian central extension  $C_2 \rightarrow \tilde{E}_{2r} \rightarrow E_{2r}$ .



The analogue of  $C < D_8$  is then  $W_r < \tilde{E}_{2r}$  where  $W_r$  is any elementary abelian subgroup of rank  $r$  that does not contain the central  $C_2$ . (All such subgroups are equivalent.)

It isn't hard to check that  $r_-(\tilde{E}_{2r}, W_r) = r$  and  $r_+(\tilde{E}_{2r}, W_r) = r + 1$  so that  $r \leq r_n(\tilde{E}_{2r}, W_r) \leq r + 1$ . By tweaking the construction in the previous subsection, we show that blue shift numbers attain the upper bound.

The tweak is as follows. If  $\omega$  is now a real representation of a finite 2-group  $G$ , let  $\mathbb{R}\mathbb{P}(\omega)$  denote the associated projective space. As before, it is easy to analyze the fixed point space  $\mathbb{R}\mathbb{P}(\omega)^G$ , and then to compute the size of its Morava  $K$ -theories.

**Example 2.10.** Let  $\tilde{\rho}_{2r}$  be the real regular representation of  $E_{2r}$ , pulled back to  $\tilde{E}_{2r}$ . This is the sum of the  $2^{2r}$  distinct one dimensional real representations of  $\tilde{E}_{2r}$ , and  $\tilde{E}_{2r}$  has one more irreducible real representation  $\Delta_r$  of dimension  $2^r$ . If we let  $\omega = 2^{n+1}\tilde{\rho}_{2r} \oplus \Delta_r$ , then

$$k_{n+r}(\mathbb{R}\mathbb{P}(\omega)_+^{W_r}) = 2^{n+1+2r} - 2^r$$

which is less than

$$k_n(\mathbb{R}\mathbb{P}(\omega)_+^{\tilde{E}_{2r}}) = 2^{n+1+2r}.$$

Again invoking Corollary 2.7, this example has the following consequence.

**Theorem 2.11.** *For all  $n$ ,  $r_n(\tilde{E}_{2r}, W_r) = r + 1 = r_+(\tilde{E}_{2r}, W_r)$ .*

A variant of this last example will prove the following.

**Theorem 2.12.** *For all  $n$ ,*

$$r_n(\tilde{E}_{2r} \times E_s, W_r \times \{e\}) = r + s + 1 = r_+(\tilde{E}_{2r} \times E_s, W_r \times \{e\}).$$

This last theorem suffices to allow us to deduce the following consequence.

**Theorem 2.13.** *Let  $G$  be any 2-group fitting into a central extension*

$$C_2 \rightarrow G \rightarrow E$$

*with  $E$  elementary abelian. For all  $K < H < G$ ,  $r_n(H, K) = r_+(H, K)$  for all  $n$ .*

The details will be in §8.

This computation of these blue shift numbers resolves all open questions about the topology of the Balmer spectrum for any such  $G$ .

**2.2.3. A new general lower bound theorem for 2-groups.** An analysis of our argument for the extraspecial 2-groups leads to a general theorem that improves the lower bound for  $r_n(G, H)$  for many other groups too.

To state this, we need a little bit of notation. Given a finite 2-group  $H$ , let  $e_H \in \mathbb{R}[H]$  be the central idempotent

$$e_H = \frac{1}{|\Phi(H)|} \sum_{h \in \Phi(H)} h.$$

If  $\omega$  is a real representation of  $H$ , then  $e_H\omega$  is the maximal direct summand of  $\omega$  on which  $\Phi(H)$  acts trivially, so can be viewed as a real representation of  $H/\Phi(H)$ : see the paragraph before Corollary 7.5.

**Theorem 2.14.** *Let  $H$  be a nontrivial proper subgroup of a finite 2-group  $G$  such that  $\Phi(H) = \Phi(G) \cap H$ , or, equivalently,  $H/\Phi(H) \rightarrow G/\Phi(G)$  is monic.*

*If  $G$  has an irreducible real representation  $\Delta$  such that  $e_H \operatorname{Res}_H^G(\Delta)$  is the regular real representation of  $H/\Phi(H)$ , then, for all  $n$ ,*

$$r_n(G, H) \geq r_-(G, H) + 1.$$

The proof is in §7.

**Example 2.15.** If  $H = W_r < \tilde{E}_{2r} = G$ , then  $\Delta_r$  satisfies the hypothesis of the theorem.

**Example 2.16.** Let  $G$  be the semidirect product  $C_2^3 \rtimes C_4$ , with  $C_4$  acting faithfully on  $C_2^3$ , the group with GAP label 32 #6. Let  $H < G$  be the cyclic subgroup of order 4 which is GAP subgroup #24. Then  $\Phi(H) = \Phi(G) \cap H$ , and  $G$  has three distinct irreducible real representations which satisfy the hypothesis of the theorem: two 2-dimensional ones that are pulled back from a quotient map  $G \twoheadrightarrow D_8$ , and one that is faithful of dimension 4.

One computes that  $r_-(G, H) = 1$  and  $r_+(G, H) = 3$ . The theorem then tells us that  $r_n(G, H)$  is either 2 or 3.

**2.3. Further application of Theorem 2.2.** Our applications of Theorem 2.2 in the last two subsections use Theorem 2.2 in its contrapositive form: ‘if the  $(G, H, n, m)$  Chromatic Floyd Theorem is not true then the  $(G, H, n, m)$  Chromatic Smith Theorem is not true’.

When combined with the upper bound  $r_n(G, H) \leq r_+(G, H)$ , the direct statement – ‘if the  $(G, H, n, m)$  Chromatic Smith Theorem is true then the  $(G, H, n, m)$  Chromatic Floyd Theorem is true’ – implies the following theorem.

**Theorem 2.17.** *If  $X$  is a  $p$ -local finite  $G$ -space, then, for all  $r \geq r_+(G, H)$*

$$k_{n+r}(X^H) \geq k_n(X^G) \text{ for all } n.$$

This has a variety of interesting applications.

**2.3.1. Application to  $K(n)_*$ -homology disks.** For this application, and our next, we need to first note that Floyd’s Euler characteristic theorem (1.4) implies chromatic versions. If  $X$  is space such that  $\dim_{K(n)_*} K(n)_*(X)$  is finite, let  $\chi_n(X)$  be the Euler characteristic of the graded  $K(n)_*$ -vector space  $K(n)_*(X)$ . It is a standard lemma that the Euler characteristic of a finite chain complex of finite dimensional vector spaces equals the Euler characteristic of the resulting graded homology groups. Applying this to the AHSS, we learn that if  $H_*(X; \mathbb{Z}/p)$  is finite dimensional then  $\chi(X) = \chi_n(X)$ . Thus (1.4) implies the following.

**Proposition 2.18.** *If  $G$  is a finite  $p$ -group,  $H$  a subgroup, and  $X$  a  $p$ -local finite  $G$ -space, then  $\chi_n(X^H) \equiv \chi_n(X^G) \pmod{p}$ .*

As mentioned in the introduction, Floyd's theorems (1.3) and (1.4) imply the unbased Smith theorem. The reasoning showing this in [Bre72, Section III.5] adapts to show that Theorem 2.17 and Proposition 2.18 imply an analogous unbased chromatic Smith theorem.

To explain this, we need to describe the unbased  $G$ -spaces that we will consider.

**Definition 2.19.** Let  $G$  be a finite  $p$ -group. An unbased  $G$ -space  $X$  is *admissible* if  $X_+$  is a  $p$ -local finite  $G$ -space.

**Theorem 2.20.** *Let  $H$  be a subgroup of a finite  $p$ -group  $G$ , and let  $X$  be an admissible  $G$ -space. If  $r \geq r_+(G, H)$  and  $K(n+r)_*(X^H) \simeq K(n+r)_*$ , then  $K(n)_*(X^G) \simeq K(n)_*$ .*

*Proof.* By assumption,  $k_{n+r}(X_+^H) = 1$ . Theorem 2.17 then implies that  $k_n(X_+^G) \leq 1$ , and so is 1 or 0. The possibility that  $k_n(X_+^G) = 0$  (i.e.  $X^G = \emptyset$ ) is ruled out by Proposition 2.18.  $\square$

Specializing to the case when  $G$  is cyclic,  $H = \{e\}$ , and  $n = 0$ , we learn the following.

**Corollary 2.21.** *Let  $C$  be a finite cyclic  $p$ -group, and  $X$  an admissible  $C$ -space. If  $X$  is acyclic in mod  $p$   $K$ -theory, then  $X^C$  will be rationally acyclic.*

**Example 2.22.** This corollary would apply to any admissible cyclic action on a space homotopy equivalent to the cofiber of an unstable Adams map between mod  $p^k$  Moore spaces, for any  $p$  and  $k$ .

**2.3.2. Application to  $K(n)_*$ -homology spheres.** Similar to the previous subsection, we get the chromatic analogues of classical theorem about actions on mod  $p$ -homology spheres. A space  $X$  is a  $K(n)_*$ -homology sphere if  $\dim_{K(n)_*} K(n)_*(X) = 2$ .

**Theorem 2.23.** *Let  $H$  be a subgroup of a finite  $p$ -group  $G$ , and let  $X$  be an admissible  $G$ -space. If  $r \geq r_+(G, H)$  and  $X^H$  is a  $K(n+r)$ -homology sphere then  $X^G$  will be a  $K(n)_*$ -homology sphere, or possibly empty if  $p = 2$ .*

*Proof.* This is similar to the proof of Theorem 2.20. We are assuming that  $k_{n+r}(X_+^H) = 2$ , so Theorem 2.17 implies that  $k_n(X_+^G) \leq 2$ . Proposition 2.18 shows that  $k_n(X_+^G) = 1$  can't happen, and  $k_n(X_+^G) = 0$  could only happen if  $p = 2$ .  $\square$

Specializing, as before, to the case when  $G$  is cyclic,  $H = \{e\}$ , and  $n = 0$ , we learn the following.

**Corollary 2.24.** *Let  $X$  be a mod  $p$   $K$ -theory sphere. Then the fixed point space of any admissible action of a finite cyclic  $p$ -group on  $X$  will be a rational homology sphere, or possibly empty if  $p = 2$ .*

**Example 2.25.** This corollary applies to the 5-dimensional Wu manifold  $M = SU(3)/SO(3)$  to show that if  $X$  has an admissible action of  $C_2$ , then  $M^{C_2}$  will be a rational homology sphere. The fact that  $M$  is a mod 2  $K$ -theory sphere is an easy consequence of the action of  $Sq^1$  and  $Sq^2$  on  $H^*(M; \mathbb{Z}/2)$ , using the AHSS. The possibility that  $M^{C_2} = \emptyset$ , i.e.  $M$  has a free involution, can then be easily ruled out:  $M$  is not the boundary of a 6-dimensional compact manifold, but any closed manifold with a free involution *is* a boundary.

**2.3.3. Application to the calculation of  $K(n)_*(X)$  using the AHSS.** Let  $n \geq 1$ . If  $X$  is a based space, the (reduced) Atiyah–Hirzebruch spectral sequence converging to  $\tilde{K}(n)^*(X)$  has  $E_2^{*,*} = \tilde{H}^*(X; \mathbb{Z}/p)[v_n^{\pm 1}]$ , and has first possible nonzero differential given by  $d_{2p^n-1}(x) = v_n Q_n(x)$ , where  $Q_n$  is the unique primitive in the mod  $p$  Steenrod algebra of degree  $2p^n - 1$  and satisfies  $(Q_n)^2 = 0$ . (When  $p = 2$ ,  $Q_0 = Sq^1$  and  $Q_n = [Sq^{2^n}, Q_{n-1}]$ .)

It follows that, if  $\dim_{\mathbb{Z}/p} H^*(X; \mathbb{Z}/p)$  is finite, and we let

$$H(X; Q_n) = \frac{\ker\{Q_n : \tilde{H}^*(X; \mathbb{Z}/p) \rightarrow \tilde{H}^*(X; \mathbb{Z}/p)\}}{\text{im}\{Q_n : \tilde{H}^*(X; \mathbb{Z}/p) \rightarrow \tilde{H}^*(X; \mathbb{Z}/p)\}},$$

and then let  $k_{Q_n}(X) = \dim_{\mathbb{Z}/p} H(X; Q_n)$ , then  $k_{Q_n}(X)$  will equal the dimension of the  $2p^n$ th page of the AHSS as a  $K(n)^*$ -vector space. Thus we get the upper bound  $k_n(X) \leq k_{Q_n}(X)$ .

Meanwhile, Theorem 2.17 implies that the lower bound  $k_{n-1}(X^C) \leq k_n(X)$  if  $X$  admits an action of a cyclic  $p$ -group  $C$  making  $X$  into a  $p$ -local finite  $C$ -space.

If the lower bound matches the upper bound, we get the conclusion of the next theorem.

**Theorem 2.26.** *Let  $n \geq 1$ . Suppose a space  $X$  admits an action of a cyclic  $p$ -group  $C$  making  $X$  into a  $p$ -local finite  $C$ -space, such that  $k_{n-1}(X^C) = k_{Q_n}(X)$ . Then the AHSS computing  $K(n)^*(X)$  collapses at  $E_{2p^n}^{*,*}$  and  $k_n(X) = k_{Q_n}(X)$ .*

**Example 2.27.** In [KL21], we show that this criterion can be used to calculate  $k_n(Gr_d(\mathbb{R}^m)_+)$  in many, and conjecturally all, cases, using group actions constructed as in §2.2.2. (Here  $p = 2$ .) In particular,

- well chosen  $m$ -dimensional real representations of  $C_2$  are used to show that  $k_n(Gr_d(\mathbb{R}^m)_+) = \binom{m}{d}$  if  $m \leq 2^{n+1}$ , and
- well chosen  $m$ -dimensional real representations of  $C_4$  are used to show that  $k_n(Gr_2(\mathbb{R}^m)_+) = \binom{2^{n+1}-\epsilon}{2} + l$  if  $m = 2^{n+1} + 2l - \epsilon$  with  $l > 0$  and  $\epsilon$  equal to 0 or 1.

**2.4. Organization of the rest of the paper.** Section 3 has some needed background information about classical Smith theory, the equivariant stable category, and Morava K-theories.

In §4, we translate our chromatic Smith theorem and Floyd theorem problems into the stable setting. The former is the problem whose solution

answers remaining questions about the topology of the Balmer spectra of the equivariant stable categories studied in [BS17]. We also show how this problem is equivalent to understanding what chromatic type functions can be topologically realized. Much of this material is in [BS17, 6A19], but we hope our exposition will make these interesting problems more accessible to those less conversant with stable equivariant homotopy theory.

In §5, we run through basic properties of the blue shift numbers  $r_n(G, H)$  and the group theoretic lower and upper bounds  $r_-(G, H)$  and  $r_+(G, H)$ , and how the two main results from [6A19] are used.

In §6 we prove Theorem 2.2, after reviewing Jeff Smith’s construction which uses certain idempotents in the group rings of the symmetric groups.

In §7 we provide details of how we use real and complex representations to construct examples that give lower bounds for  $r_n(G, H)$ . We illustrate this with the details of Example 2.8, thus completing the proof of Theorem 2.9. We then prove our more delicate result, Theorem 2.14.

Section 8 has the details of our results about extraspecial 2-groups, and has a proof of Theorem 2.13.

Section 9 has some final remarks regarding the general equivariant Balmer spectrum problem. We discuss ‘exceptional pairs’: pairs  $(G, H)$  whose blue shift numbers cannot be deduced from smaller groups. We also observe that the particular construction we use in our new 2-group examples –  $\mathbb{RP}(\omega)$  – seems limited to improving the blue shift lower bound by at most 1. The appendix has a table of exceptional pairs of 2-groups  $(G, H)$  for  $|G| \leq 32$ .

### 3. BACKGROUND

**3.1.  $G$ -spaces and classical Smith theory.** The classical papers of both P.A. Smith and E.E. Floyd predated notions like ‘ $G$ -C.W. complex’ that we use in our introduction. We say a bit about why their old theorems apply to  $p$ -local finite  $G$ -spaces as defined in Definition 1.1.

The first thing to say is that there are modern presentations in textbooks.

Chapter III of Bredon’s 1972 book [Bre72] covers this material. The theorem of Floyd (1.3) is [Bre72, Theorem III.4.1], the Euler characteristic result (1.4) is [Bre72, Theorem III.4.3], and the short deduction from these of Smith’s theorem (1.1) is given in [Bre72, §III.5]. Bredon is working with finite dimensional regular  $G$ -complexes, but his proofs – with arguments very similar to the original arguments of Smith and Floyd – are working with equivariant cellular chains, and generalize without change to all finite dimensional  $G$ -C.W. complexes.

In section 4 of Chapter III of [tD87, §4], tom Dieck gives the standard modern proof of this material using Borel equivariant cohomology and localization theorems: (1.3) is [tD87, Proposition III.4.16], and this is used to deduce (1.1) (see [tD87, Theorem III.4.22]). (A first proof using localization seems to be by Bredon [Bre68].) The Euler characteristic result (1.4)

is [tD87, Proposition III.6.7]. These proofs apply to all finite dimensional  $G$ -C.W. complexes.

Now recall that, when  $G$  is a finite  $p$ -group, we defined a based  $G$ -space to be a  $p$ -local finite  $G$ -space if it is a homotopy retract of the  $p$ -localization of a finite based  $G$ -C.W. complex.

**Lemma 3.1.** *If  $X$  is a  $p$ -local finite  $G$ -space then inequality (1.3) holds.*

*Proof.* Replacing  $X$  by  $\Sigma^2 X$  if needed, it suffices to prove the lemma when  $X$  assumed to be the homotopy retract of the  $p$ -localization of a  $G$ -space  $Y$  that is the double suspension of a finite based  $G$ -C.W. complex. As already observed in [BGH20, paragraph after Lemma 2.2], the  $p$ -localization of such a  $Y$  can be obtained as a mapping telescope of self maps of  $Y$ , and thus will be a finite dimensional  $G$ -C.W. complex (of one dimension more than  $Y$ ).

Similarly the retract  $X$  can be obtained as the mapping telescope of

$$Y_{(p)} \xrightarrow{e} Y_{(p)} \xrightarrow{e} Y_{(p)} \xrightarrow{e} Y_{(p)} \xrightarrow{e} \dots$$

where  $e$  is the composite  $Y_{(p)} \rightarrow X \hookrightarrow Y_{(p)}$ , and thus will also be a finite dimensional  $G$ -C.W. complex (of one dimension more than  $Y_{(p)}$ ). (Compare with §6.1.)

As  $H_*(X; \mathbb{Z}/p)$  is a summand of  $H_*(Y; \mathbb{Z}/p)$  and thus is clearly finite dimensional, Floyd's theorem applies.  $\square$

*Remark 3.2.* We will use without comment easily verified facts like the following: if  $X$  is a  $p$ -local finite  $G$ -space and  $H$  is a normal subgroup of  $G$ , then  $X^H$  is a  $p$ -local finite  $G/H$ -space.

**3.2. The  $p$ -local equivariant stable category.** Given a finite  $p$ -group  $G$ , let  $\mathcal{S}(G)$  be the category of  $G$ -spectra, equipped with an associative and commutative smash product, as in [MM02], and let  $\mathcal{S}(G)_{(p)}$  the subcategory of  $p$ -local spectra. Then let  $\mathcal{C}_G$  denote the full subcategory of compact objects in the homotopy category  $ho(\mathcal{S}(G)_{(p)})$ .

We write  $\mathcal{S}$  for  $\mathcal{S}(\{e\})$  and  $\mathcal{C}$  for  $\mathcal{C}_{\{e\}}$ , so  $\mathcal{C}$  is the category of compact objects in the homotopy category of (nonequivariant)  $p$ -local spectra  $\mathcal{S}_{(p)}$ .

As already stated in Lemma 1.2,  $Y \in \mathcal{C}_G$  if and only if it has the form  $Y \simeq S^{-W} \Sigma_G^\infty X$ , where  $W$  is a real representation of  $G$ , and  $X$  is a  $p$ -local finite  $G$ -space.

The stable analogue of taking  $H$ -fixed points of  $G$ -spaces is the functor that assigns to a  $G$ -spectrum  $Y$  its geometric  $H$ -fixed point spectrum  $Y^{\Phi H}$ . This functor satisfies two basic properties:

- The functor  $Y \mapsto Y^{\Phi H}$  is symmetric monoidal.
- There are natural symmetric monoidal equivalences

$$(\Sigma_G^\infty X)^{\Phi H} \simeq \Sigma^\infty(X^H).$$

From these properties, one can deduce that if  $Y \simeq S^{-W} \wedge \Sigma_G^\infty X$ , then  $Y^{\Phi H} \simeq S^{-W^H} \wedge \Sigma^\infty(X^H)$ , and so if  $Y \in \mathcal{C}_G$ , then  $Y^{\Phi G} \in \mathcal{C}$ .

**3.3. Morava  $K$ -theories.** A general reference for this subsection is [W91].

The coefficient ring of Morava  $K$ -theory is a graded field and  $K(n)$  is a ring spectrum. These two facts imply that the natural Künneth map

$$\times : K(n)_*(X) \otimes_{K(n)_*} K(n)_*(Y) \rightarrow K(n)_*(X \wedge Y)$$

is an isomorphism for all spectra  $X$  and  $Y$ , and that the natural duality map

$$K(n)^*(Z) \rightarrow \mathrm{Hom}_{K(n)_*}(K(n)_*(Z), K(n)_*)$$

is an isomorphism for all spectra  $Z$ .

At all primes,  $K(n)$  is an associative ring spectra, and at odd primes it is also commutative. This ensures that, when  $p$  is odd, the functor

$$K(n)_*(\ ) : (\mathrm{Spectra}, \wedge, S) \rightarrow (K(n)_*\text{-modules}, \otimes, K(n)_*)$$

is symmetric monoidal, where the symmetric monoidal structure on  $K(n)_*$ -modules is the standard one:  $V_* \otimes W_* = V_* \otimes_{K(n)_*} W_*$  with twist isomorphism  $\tau : V_* \otimes W_* \rightarrow W_* \otimes V_*$  given by  $\tau(x \otimes y) = (-1)^{|x||y|} y \otimes x$ .

There is a wrinkle when  $p = 2$ . Let  $t : X \wedge Y \rightarrow Y \wedge X$  be the twist equivalence. In [W86], Würigler proves the formula

$$t_*(x \times y) = y \times x + v_n(q(y) \times q(x)),$$

where  $q : K(n)^*(X) \rightarrow K(n)^{+2n-1}(X)$  is a natural derivation satisfying  $q^2 = 0$ . It follows that

$$K(n)_*(\ ) : (\mathrm{Spectra}, \wedge, S) \rightarrow (K(n)_*\text{-modules}, \otimes, K(n)_*)$$

is *not* symmetric monoidal. However, the formula suggests a fix, as follows.

Let  $\Lambda_*(q)$  denote the graded Hopf algebra  $K(n)_*[q]/(q^2)$ , where  $q$  is primitive and  $|q| = 2^n - 1$ . Then we can regard the functor  $X \mapsto K(n)_*(X)$  as taking values in  $\Lambda_*(q)$ -modules. One easily checks that we can equip the category of  $\Lambda_*(q)$ -modules with an exotic symmetric monoidal structure: given two such modules  $U_*$  and  $V_*$ , let  $U_* \otimes V_* = U_* \otimes_{K(n)_*} V_*$  as  $\Lambda_*(q)$ -modules, but with twist isomorphism  $\tau : U_* \otimes V_* \rightarrow V_* \otimes U_*$  given by  $\tau(x \otimes y) = y \otimes x + v_n(q(y) \otimes q(x))$ . Würigler's formula then tells us that

$$K(n)_*(\ ) : (\mathrm{Spectra}, \wedge, S) \rightarrow (\Lambda_*(q)\text{-modules}, \otimes, K(n)_*)$$

is symmetric monoidal. (This exotic symmetric monoidal category has also appeared in algebraic settings: see the first pages of [BE19].)

Finally, we remind readers of the fundamental Thick Subcategory Theorem of [HS98]: If  $\mathcal{B}$  is a proper thick subcategory  $\mathcal{C}$ , then  $\mathcal{B} = \mathcal{C}(n)$  for some  $1 \leq n \leq \infty$ , where

$$\mathcal{C}(n) = \{X \mid K(n-1)_*(X) = 0\} = \{X \mid X \text{ has type } \geq n\},$$

for finite  $n$ , and  $\mathcal{C}(\infty) = \{*\}$ . With this notation, Mitchell and Ravenel's results combine to show that there are strict inclusions

$$\mathcal{C} \supset \mathcal{C}(1) \supset \mathcal{C}(2) \supset \dots,$$

and  $\mathcal{C}(\infty) = \bigcap_{n < \infty} \mathcal{C}(n)$ . Each  $\mathcal{C}(n)$  for  $n \geq 1$  is an ideal in the tensor triangulated category  $\mathcal{C}$ , and, indeed, is even a prime ideal, thanks to the Kunneth theorem.

#### 4. CHROMATIC SMITH THEOREMS, THE BALMER SPECTRUM, AND TYPE FUNCTIONS

The homotopy category of compact objects in  $G$ -equivariant spectra, with  $G$  a finite group, is tensor triangulated, and in [BS17] the authors began the study of its Balmer spectrum. They are able to reduce the study for all groups to the case of interest in this paper: understanding the Balmer spectrum of  $\mathcal{C}_G$ , the category of compact objects in  $\mathcal{S}(G)_{(p)}$ , when  $G$  is a finite  $p$ -group.

By definition the points of the Balmer spectrum are the prime ideals in  $\mathcal{C}_G$ , and Balmer and Sanders check that these are precisely the prime ideals

$$\mathcal{P}_G(H, n) = \{X \in \mathcal{C}_G \mid X^{\Phi H} \in \mathcal{C}(n)\},$$

with  $H$  running through representatives of the conjugacy classes of subgroups of  $G$ , and  $n \geq 1$ .

Understanding the topology of the Balmer spectrum is then shown to be equivalent to the following.

**Problem 4.1.** Given a finite  $p$ -group  $G$ , and subgroups  $K$  and  $H$ , for what pairs  $(n, m)$  is it true that  $\mathcal{P}_G(K, m) \subseteq \mathcal{P}_G(H, n)$ ?

It isn't hard to show that a necessary condition for this to happen is that  $K$  be subconjugate to  $H$  in  $G$ .

The case when  $G = H$  is related to Problem 1.3 as follows.

**Lemma 4.2.** *Given  $K \leq H$ , the following are equivalent.*

- (a)  $\mathcal{P}_H(K, m+1) \subseteq \mathcal{P}_H(H, n+1)$ .
- (b) For all  $Y \in \mathcal{C}_H$ ,  $K(m)_*(Y^{\Phi(K)}) = 0 \Rightarrow K(n)_*(Y^{\Phi(H)}) = 0$ .
- (c) The  $(H, K, n, m)$  Chromatic Smith Theorem holds.
- (d)  $m \geq n + r_n(H, K)$ .

*Proof.* It is clear from the definitions that (a)  $\Leftrightarrow$  (b), and  $r_n(H, K)$  was defined so that (c)  $\Leftrightarrow$  (d). Now recall that  $Y \in \mathcal{C}_H$  if and only if  $Y = S^{-W} \wedge \Sigma_H^\infty X$  with  $X$  a  $p$ -local finite  $H$ -space, and, in this case,  $Y^{\Phi(K)} = S^{-W^K} \wedge \Sigma^\infty X^K$ . Thus  $K(m)_*(Y^{\Phi(K)}) = 0 \Leftrightarrow \tilde{K}(m)_*(X^K) = 0$ . The equivalence (b)  $\Leftrightarrow$  (c) follows, as (c) is the statement that for all  $p$ -local finite  $H$ -spaces  $X$ ,  $\tilde{K}(m)_*(Y^K) = 0 \Rightarrow \tilde{K}(n)_*(Y^H) = 0$ .  $\square$

Similarly, there is a Chromatic Floyd Theorem version of the equivalence (b)  $\Leftrightarrow$  (c). It is useful to extend a bit of our notation: if  $Y$  is a spectrum such that  $\dim_{K(n)_*} K(n)_*(Y)$  is finite, we let  $k_n(Y) = \dim_{K(n)_*} K(n)_*(Y)$ . With this notation,  $k_n(\Sigma^\infty X) = k_n(X)$ , for any based space such that  $\dim_{K(n)_*} K(n)_*(X)$  is finite.



**Lemma 4.3.** *Given  $K \leq H$ , the following are equivalent.*

- (a) *For all  $Y \in \mathcal{C}_H$ ,  $k_m(Y^{\Phi(K)}) \geq k_n(Y^{\Phi(H)})$ .*
- (b) *The  $(H, K, n, m)$  Chromatic Floyd Theorem holds.*

Balmer and Sanders then show that Problem 4.1 for general  $K, H \leq G$  reduces to the  $G = H$  case. We describe how this goes.

It is useful to generalize our blue shift numbers.

**Definition 4.4.** Given  $K < H < G$  and  $n \geq 0$ , let  $r_n^G(H, K)$  be the minimal  $r$  such that  $\mathcal{P}_G(K, n+r+1) \subseteq \mathcal{P}_G(H, n+1)$ . Equivalently,  $r_n^G(H, K)$  is the minimal  $r$  such that, for all  $Y \in \mathcal{C}_G$ ,  $K(n+r)_*(Y^{\Phi(K)}) = 0 \Rightarrow K(n)_*(Y^{\Phi(H)}) = 0$ .

(We note that  $r_n^G(H, K)$  here is denoted  $\beth_{n+1}(G; H, K)$  in [6A19].)

Thus  $r_n^H(H, K) = r_n(H, K)$ .

With this definition, [BS17, Prop.6.11] (when  $G$  is a  $p$ -group) says the following.

**Proposition 4.5.** *Given  $K \leq H \leq G$ ,*

$$r_n^G(H, K) = \min\{r_n(H, L) \mid L \leq H \text{ is conjugate to } K \text{ in } G\}.$$

We end this section with a proof of Proposition 4.5 which is differently arranged than that in [BS17]. Enroute, we also give an elementary proof of a result in [BS17] about ‘type functions’.

Let  $\text{Conj}(G)$  denote the set of conjugacy classes of subgroups of  $G$ .

**Definition 4.6.** Given a  $p$ -local finite  $G$ -space (or  $p$ -local compact  $G$ -spectrum)  $X$ , its *type function* is the function  $\text{type}_X : \text{Conj}(G) \rightarrow \mathbb{N} \cup \{\infty\}$  defined by  $\text{type}_X(H) = \text{type } X^H$  (or  $\text{type } X^{\Phi(H)}$ ).

The last statement in [BS17, Corollary 10.6] tells us what type functions can occur.

**Proposition 4.7.** *Given a function  $f : \text{Conj}(G) \rightarrow \mathbb{N} \cup \{\infty\}$ , there exists a  $p$ -local finite  $G$ -space  $X$  such that  $f = \text{type}_X$  if and only if  $f(K) \leq f(H) + r_{f(H)}^G(H, K)$  for all  $K < H < G$ .*

To prove this, we start with a lemma.

**Lemma 4.8.** *Given  $K \leq H < G$  and  $n \geq 0$ , there exists a  $p$ -local finite  $G$ -space  $X$  such that  $X^K$  has type  $m$  and  $X^H$  has type  $n$  if and only if  $m \leq n + r_n^G(H, K)$ .*

*Proof.*  $m \leq n + r_n^G(H, K)$  if and only if  $\mathcal{P}_G(K, m) \not\subseteq \mathcal{P}_G(H, n+1)$ , and this happens exactly when there exists a  $p$ -local finite  $G$ -space  $Y$  with  $\text{type } Y^K \geq m$  but  $\text{type } Y^H \leq n$ . Given such a  $Y$ , let  $X = (G/K_+ \wedge U) \vee (Y \wedge V)$  where  $U$  has type  $m$  and  $V$  has type  $n$ , and both are given

a trivial  $G$ -action. Note that  $(G/K)^K = W_G(K) (= N_G(H)/H)$ , which is a nonempty finite set of points, while  $(G/K)^H = \emptyset$ . Thus

$$X^K = (W_G(K)_+ \wedge U) \vee (Y^K \wedge V)$$

which has type precisely  $m$ , while

$$X^H = (\emptyset_+ \wedge U) \vee (Y^H \wedge V) = Y^H \wedge V$$

which has type precisely  $n$ .  $\square$

*Proof of Proposition 4.7.* The ‘only if’ statement follows from the lemma.

For the ‘if’ direction, suppose  $f : \text{Conj}(G) \rightarrow \mathbb{N} \cup \{\infty\}$  satisfies  $f(K) \leq f(H) + r_{f(K)}^G(H, K)$  for all  $K < H < G$ . By the lemma, for each  $K < H$ , there exists a  $p$ -local finite  $G$ -space  $Y(H, K)$  such that  $\text{type } Y(H, K)^K = f(K)$  and  $\text{type } Y(H, K)^H = f(H)$ .

For each  $H \in \text{Conj}(G)$ , we now let  $X(H)$  be the  $G$ -space defined by

$$X(H) = G/H_+ \wedge \bigwedge_{L < H} Y(H, L).$$

We claim that  $\text{type}_{X(H)}(K) \geq f(K)$  for all  $K$ , with equality when  $K = H$ . To see this, we first note if  $K$  is not subconjugate to  $H$ , then  $(G/H)^K = \emptyset$ , so that  $X(H)^K$  is contractible and  $\text{type}_{X(H)}(K) = \infty$ . If  $gKg^{-1} \leq H$ , then

$$\begin{aligned} \text{type } X(H)^K &= \text{type } \bigwedge_{L < H} Y(H, L)^K \\ &= \max\{\text{type } Y(H, L)^K \mid L < H\} \\ &\geq \text{type } Y(H, gKg^{-1})^K = f(K). \end{aligned}$$

Finally, if  $K = H$ , then  $\text{type } X(H)^H = \text{type } \bigwedge_{L < H} Y(H, L)^H = f(H)$ .

Now let  $X = \bigvee_H X(H)$ . Then  $\text{type}_X(K) = \min_H \{\text{type}_{X(H)}(K)\} = f(K)$ .  $\square$

We now turn to the proof of Proposition 4.5. It is useful to write  $L \stackrel{G}{\sim} K$  if  $L$  and  $K$  are conjugate subgroups of  $G$ .

**Lemma 4.9.** *Given  $K \leq H < G$ , and a  $p$ -local finite  $H$ -space  $X$ , the  $p$ -local finite  $G$ -space  $G_+ \wedge_H X$  satisfies:*

$$\text{type } (G_+ \wedge_H X)^H = \text{type } X^H,$$

and

$$\text{type } (G_+ \wedge_H X)^K = \min\{\text{type } X^L \mid L \leq H \text{ and } L \stackrel{G}{\sim} K\}.$$

*Proof.* The first statement is a special case of the second. For the second, one checks that

$$(G_+ \wedge_H X)^K = \bigvee_{gH \in (G/H)^K} X^{g^{-1}Kg}$$

and that  $gH \in (G/H)^K$  if and only if  $g^{-1}Kg \leq H$ .  $\square$

*Proof of Proposition 4.5.* Recall that our goal is to show that if  $K < H < G$ , then  $r_n^G(H, K) = \min\{r_n(H, L) \mid L < H \text{ and } L \overset{G}{\sim} K\}$ . Lemma 4.8 allows us to regard this as a statement about type functions.

We first check that  $r_n^G(H, K) \leq \min\{r_n(H, L) \mid L < H \text{ and } L \overset{G}{\sim} K\}$ . To see this, let  $Y$  be a  $p$ -local finite  $G$ -space such that  $Y^H$  has type  $n$  and  $Y^K$  has type  $n + r_n^G(H, K)$ . Suppose  $L = g^{-1}Kg < H$ . If we consider  $Y$  as an  $H$ -space by restriction, then  $Y^H$  has type  $n$  and  $Y^L$  still has type  $n + r_n^G(H, K)$  since  $Y^L = g^{-1}Y^K$ . Thus  $r_n(H, L) \geq r_n^G(H, K)$ .

We show the other inequality holds. Given  $J < H$  with  $J \overset{G}{\sim} K$ , let  $X(J)$  be a  $p$ -local finite  $H$ -space such that  $X(J)^H$  has type  $n$  and  $X(J)^J$  has type  $n + r_n(H, J)$ , and let  $X = \bigwedge_J X(J)$ . Then  $X^H = \bigwedge_J X(J)^H$ , which still has type  $n$ , while, if  $L < H$  then  $X^L = \bigwedge_J X(J)^L$ , so that if also  $L \overset{G}{\sim} K$  then

$$\text{type } X^L = \max\{\text{type } X(J)^L \mid J \overset{G}{\sim} K\} \geq \text{type } X(L)^L = n + r_n(H, L).$$

Applying Lemma 4.9 to the  $G$ -space  $Y = G_+ \wedge_H X$ , we see that  $Y^H$  has type  $n$ , while

$$\begin{aligned} \text{type } Y^K &= \min\{\text{type } X^L \mid L < H \text{ and } L \overset{G}{\sim} K\} \\ &\geq \min\{n + r_n(H, L) \mid L < H \text{ and } L \overset{G}{\sim} K\}. \end{aligned}$$

This means that  $r_n^G(H, K) \geq \min\{r_n(H, L) \mid L < H \text{ and } L \overset{G}{\sim} K\}$ .  $\square$

The following is likely the simplest example illustrating the difference between  $r_n(H, K) = r_n^H(H, K)$  and  $r_n^G(H, K)$ . (We thank Richard Lyons for pointing us towards this.)

**Example 4.10.** Let  $G = (C_4 \times C_4) \rtimes C_2$ ,  $H = C_4 \times C_2 < C_4 \times C_4 < G$ ,  $K = C_2 \times \{e\} < H$ , and  $L = \{e\} \times C_2 < H$ . Then  $L \overset{G}{\sim} K$ , and, by the abelian group results of [6A19],  $r_n(H, K) = \text{rank } H/K = 2$ , while  $r_n(H, L) = \text{rank } H/L = 1$ . It follows that  $r_n^G(H, K) = 1$ .

## 5. BASIC PROPERTIES OF $r_-(G, H)$ , $r_n(G, H)$ , AND $r_+(G, H)$ .

Here we discuss some basic properties of the blue shift numbers  $r_n(G, H)$  and their group theoretic upper and lower bounds,  $r_+(G, H)$  and  $r_-(G, H)$ , and how these bounds are deduced from the following two results from [6A19].

**Theorem 5.1.**  $r_n(C_{p^k}, \{e\}) \leq 1$  for all  $n$  and  $k$ .

This upper bound is [6A19, Thm.2.1], specialized to the case when  $A$  is cyclic. (Though not noted in [6A19], their result for a general finite abelian  $p$ -group  $A$  follows from the cyclic group case.) The proof is via localization

theory, and thus, in some sense, resembles the proof of P.A.Smith's theorem as in [tD87]. For an alternate proof of Theorem 5.1, see [K21].

We restate Theorem 2.9.

**Theorem 5.2.**  $r \leq r_n(C_p^r, \{e\})$  for all  $n$  and  $r$ .

This is [6A19, Thm.2.2], and in §7 we will give the details of our simpler proof of this lower bound, following the outline in §2.2.1.

Recall that if  $H$  is a subgroup of a finite  $p$ -group  $G$ ,  $r_+(G, H)$  is defined to be the minimal  $r$  such that there exists a chain of subgroups  $H = K_0 \triangleleft K_1 \triangleleft \cdots \triangleleft K_r = G$  with each  $K_{i-1}$  normal in  $K_i$  and  $K_i/K_{i-1}$  cyclic.

We also defined  $r_-(G, H)$  to be the rank of  $G/H\Phi(G)$ . One easily sees that  $r_-(G, H) = r_+(G, H\Phi(G))$ .

The following property is elementary but very useful.

**Lemma 5.3.** *If  $N$  is normal in  $G$ , then  $r_+(G, H) \geq r_+(G/N, HN/N)$  and  $r_-(G, H) \geq r_-(G/N, HN/N)$ , with equality in both cases if  $N \leq H$ .*

*Proof.* For  $r_+$ , the image in  $G/N$  of a minimal subgroup chain between  $H$  and  $G$  with cyclic subquotients will be a chain between  $HN/N$  and  $G/N$  with cyclic subquotients. If  $N \leq H$  this will be a bijection between such chains. The statement for  $r_-$  can be easily checked directly, or deduced from the  $r_+$  case, since  $r_-(G, H) = r_+(G, H\Phi(H))$ .  $\square$

**Corollary 5.4.**  $r_+(G, H) \geq r_-(G, H)$ .

*Proof.* Specializing the lemma to the case when  $N = H\Phi(G)$ , one learns that  $r_+(G, H) \geq r_+(G/H\Phi(G), \{e\}) = r_+(G, H\Phi(H)) = r_-(G, H)$ .  $\square$

The analogue of the last lemma also holds for  $r_n(G, H)$ .

**Lemma 5.5.** *If  $N$  is normal in  $G$ , then  $r_n(G, H) \geq r_n(G/N, HN/N)$  for all  $n$ , with equality if  $N \leq H$ .*

*Proof.* Lemma 4.8 tells us that there exists a  $p$ -local finite  $G/N$ -space  $X$  such that  $X^{G/N}$  has type  $n$  and  $X^{HN/N}$  has type  $n + r_n(G/N, HN/N)$ . If we regard  $X$  as a  $G$ -space via the quotient map  $G \rightarrow G/N$ , then  $X^G = X^{G/N}$  has type  $n$  and  $X^H = X^{HN/N}$  has type  $n + r_n(G/N, HN/N)$ . It follows that  $n + r_n(G/N, HN/N) \leq n + r_n(G, H)$ .

Now suppose that  $N \leq H$ , and that  $Y$  is a  $p$ -local finite  $G$ -space such that  $Y^G$  has type  $n$  and  $Y^H$  has type  $n + r_n(G, H)$ . If we let  $X = Y^N$ , then  $X$  will be a  $p$ -local finite  $G/N$ -space such that  $X^{G/N} = Y^G$  has type  $n$  and  $X^{HN/N} = Y^H$  has type  $n + r_n(G, H)$ . Thus  $n + r_n(G, H) \leq n + r_n(G/N, HN/N)$ .  $\square$

Specializing this lemma to the case when  $N = H\Phi(G)$ , one learns that  $r_n(G, H) \geq r_n(G/H\Phi(G), \{e\})$ , which Theorem 5.2 tells us is at least as big as the rank of  $G/H\Phi(G)$ . We learn the following.

**Corollary 5.6.**  $r_n(G, H) \geq r_-(G, H)$  for all  $n$ .

Another useful corollary goes as follows.

**Corollary 5.7.** *If  $N$  is normal in  $G$ , then  $r_n(G, N) = r_n(G/N, \{e\})$  for all  $n$ .*

Now we note some transitivity properties.

**Lemma 5.8.** *Let  $H < K < G$ .*

$$(a) \quad r_+(G, H) \leq r_+(G, K) + r_+(K, H).$$

$$(b) \quad r_-(G, H) \leq r_-(G, K) + r_-(K, H).$$

Both of these inequalities are clear from the definitions. Strict inequality can certainly hold in both cases: consider  $\{e\} < C_2 < C_4$ .

An analogous property for the blue shift numbers goes as follows.

**Lemma 5.9.** *Let  $H < K < G$ . Then*

$$r_n(G, H) \leq r_n(G, K) + \max_{m \leq n+r_n(G, K)} \{r_m(K, H)\}.$$

*Proof.* Let  $l = n + r_n(G, H)$ , and let  $X$  be a  $p$ -local finite  $G$ -space such that  $X^G$  has type  $n$  and  $X^H$  has type  $l$ . Let  $m$  be the type of  $X^K$ . Then  $m \leq n + r_n(G, K)$  and  $l \leq m + r_m(K, H)$ , so that

$$r_n(G, H) = l - n = (m - n) + (l - m) \leq r_n(G, K) + r_m(K, H).$$

□

**Corollary 5.10.**  *$r_n(G, H) \leq r_+(G, H)$  for all  $n$ .*

*Proof.* We prove this by induction on  $r_+(G, H)$ .

$r_+(G, H) = 1$  means that  $H$  is normal in  $G$  and  $G/H$  is cyclic. But then  $r_n(G, H) = r_n(G/H, \{e\})$  by Corollary 5.7, and  $r_n(G/H, \{e\}) \leq 1 = r_+(G, H)$  by Theorem 5.1.

For the inductive step, let  $H = K_0 \triangleleft K_1 \triangleleft \cdots \triangleleft K_r = G$  be a minimal chain with each  $K_i/K_{i-1}$  cyclic. By inductive hypothesis,  $r_n(G, K_1) \leq r_+(G, K_1)$  for all  $n$ , and  $r_m(K_1, H) \leq 1$  for all  $m$ , by the case just discussed. The lemma applied to  $H < K_1 < G$  then implies that

$$\begin{aligned} r_n(G, H) &\leq r_n(G, K_1) + \max_{m \leq n+r_n(G, K_1)} \{r_m(K_1, H)\} \\ &\leq r_+(G, K_1) + 1 = r_+(G, H). \end{aligned}$$

□

Corollary 5.6 and Corollary 5.10 combine to show that

$$r_-(G, H) \leq r_n(G, H) \leq r_+(G, H)$$

for all  $H < G$  and all  $n$ .

We end this section by noting some differences between our upper and lower bound functions.

**Lemma 5.11.** *If  $H < K < G$ , then  $r_-(G, H) \geq r_-(G, K)$ .*

**Example 5.12.** Let  $D_{16}$  be the dihedral group of order 16, and let  $C$  be a noncentral subgroup of order 2. Then  $r_+(D_{16}, \{e\}) = 2$ , while  $r_+(D_{16}, C) = 3$ . (We note that  $r_-(D_{16}, \{e\}) = 2$ , while  $r_-(D_{16}, C) = 1$ .)

**Example 5.13.** Related to this last example, let  $D_{2^{k+1}}$  be the dihedral group of order  $2^{k+1}$  and let  $C$  be a noncentral subgroup of order 2. Then  $r_-(D_{2^{k+1}}, C) = 1$  while  $r_+(D_{2^{k+1}}, C) = k$ . This illustrates that  $r_+(G, H) - r_-(G, H)$  can be arbitrarily large.

**Lemma 5.14.** *If  $H_1 < G_1$  and  $H_2 < G_2$ , then*

$$r_-(G_1 \times G_2, H_1 \times H_2) = r_-(G_1, H_1) + r_-(G_2, H_2).$$

Regarding our upper bound, Lemma 5.8(a) implies that

$$r_+(G_1 \times G_2, H_1 \times H_2) \leq r_+(G_1, H_1) + r_+(G_2, H_2),$$

but the next example shows that strict inequality can happen, even when one of the pairs is as trivial as possible.

**Example 5.15.** Let  $a$  be the generator of the cyclic group  $C_2$ , and let  $M_4(2)$  be the ‘modular maximal-cyclic group of order 16’, a group generated by elements  $b, c$  satisfying  $b^8 = c^2 = e$  and  $cbc = b^5$ . (It is group 16#6 in the GAP Small Groups Library.) Let  $C = \langle c \rangle$ , a noncentral subgroup of order 2. Then  $r_+(C_2, \{e\}) = 1$  and  $r_+(M_4(2), C) = 2$ , but  $r_+(C_2 \times M_4(2), \{e\} \times C) = 2$ . To see this last fact, we have a chain of normal subgroups

$$\{e\} \times C = \langle c \rangle \triangleleft \langle ab^2, c \rangle \triangleleft \langle a, b, c \rangle = C_2 \times M_4(2),$$

with  $\langle ab^2, c \rangle / \langle c \rangle \simeq \langle ab^2 \rangle \simeq C_4$ , and  $\langle a, b, c \rangle / \langle ab^2, c \rangle \simeq \langle a, b \rangle / \langle ab^2 \rangle \simeq C_4$ .

*Remark 5.16.* From this one learns that  $r_n(C_2 \times M_4(2), \{e\} \times C) = 2$  for all  $n$ , while  $r_n(M_4(2), C)$  can’t be determined: it is either  $1 = r_-(M_4(2), C)$  or  $2 = r_+(M_4(2), C)$ .

## 6. JEFF SMITH’S CONSTRUCTION, AND THE PROOF OF THEOREM 2.2

The goal of this section is to prove Theorem 2.2 which said that if the  $(G, H, n, m)$  Chromatic Smith Theorem is true then the  $(G, H, n, m)$  Chromatic Floyd Theorem is true.

In fact, we prove the contrapositive of this, and thanks to Lemma 4.2 and Lemma 4.3, we can translate this into the following statement about spectra in  $\mathcal{C}_G$ :

*Suppose that there exists  $X \in \mathcal{C}_G$  such that  $k_m(X^{\Phi(H)}) < k_n(X^{\Phi(G)})$ . Then there exists  $F \in \mathcal{C}_G$  with  $k_m(F^{\Phi(H)}) = 0$  and  $k_n(F^{\Phi(G)}) \neq 0$ .*

This then will follow from the following more general theorem.



homotopy commutative diagram

$$(6.2) \quad \begin{array}{ccc} Y^{\Phi(H)} & \xrightarrow{e} & Y^{\Phi(H)} \\ & \searrow r & \nearrow i \\ & (eY)^{\Phi(H)} & \xlongequal{\quad\quad\quad} (eY)^{\Phi(H)}, \end{array}$$

from which one can conclude that there is a natural equivalence

$$(eY)^{\Phi(H)} \simeq e(Y^{\Phi(H)}).$$

Relevant for us, note that, for all  $n \geq 0$ , there will thus be a natural isomorphism

$$K(n)_*((eY)^{\Phi(H)}) \simeq eK(n)_*(Y^{\Phi(H)}),$$

where we write  $e : K(n)_*(Y^{\Phi(H)}) \rightarrow K(n)_*(Y^{\Phi(H)})$  for the homomorphism induced by  $e : Y^{\Phi(H)} \rightarrow Y^{\Phi(H)}$ .

## 6.2. Using idempotents in the group ring of symmetric groups.

We now specialize the discussion of the last subsection to the case when  $Y = X^{\wedge k}$ , with  $X \in \mathcal{S}(G)_{(p)}$ .

The  $k$ th symmetric group  $\Sigma_k$  acts naturally on the  $k$ -fold smash product  $X^{\wedge k}$  by permuting the factors, inducing a ring homomorphism

$$\mathbb{Z}[\Sigma_k] \rightarrow \{X^{\wedge k}, X^{\wedge k}\}_G.$$

Since  $X$  is  $p$ -local, this extends to a ring homomorphism

$$\mathbb{Z}_{(p)}[\Sigma_k] \rightarrow \{X^{\wedge k}, X^{\wedge k}\}_G.$$

Thus an idempotent  $e \in \mathbb{Z}_{(p)}[\Sigma_k]$  induces an idempotent map  $e : X^{\wedge k} \rightarrow X^{\wedge k}$ , and thus a wedge summand  $eX^{\wedge k} \in \mathcal{S}(G)_{(p)}$ .

There are then natural equivalences

$$(eX^{\wedge k})^{\Phi(H)} \simeq e((X^{\wedge k})^{\Phi(H)}) \simeq e((X^{\Phi(H)})^{\wedge k}).$$

Here, the second equivalence holds because the geometric fixed point functors are monoidal.

From this, and the Kunnetth isomorphism for Morava  $K$ -theory, we see that there will be natural isomorphisms, for all  $n$ ,

$$(6.3) \quad K(n)_*((eX^{\wedge k})^{\Phi(H)}) \simeq e(K(n)_*(X^{\Phi(H)})^{\otimes k}),$$

where the action of  $\Sigma_k$  on  $K(n)_*(X^{\Phi(H)})^{\otimes k}$  is the standard (signed) permutation action if  $p$  is odd (or  $n = 0$ ), and is the action induced by the exotic symmetric monoidal structure described in §3.3 if  $p = 2$ .



**6.3. The strategy of the proof of Theorem 6.1.** Now we can explain the strategy of the proof of Theorem 6.1.

Our assumption is that  $X \in \mathcal{S}(G)_{(p)}$  satisfies

$$\dim_{K(m)_*} K(m)_*(X^{\Phi(H)}) < \dim_{K(n)_*} K(n)_*(X^{\Phi(G)}).$$

(We include the possibility that  $\dim_{K(n)_*} K(n)_*(X^{\Phi(G)})$  is infinite.)

It is convenient to let  $W_* = K(m)_*(X^{\Phi(H)})$  and let  $V_* = K(n)_*(X^{\Phi(G)})$ , so that

$$\dim_{K(m)_*} W_* < \dim_{K(n)_*} V_*.$$

Given  $k \geq 1$  and an idempotent  $e \in \mathbb{Z}_{(p)}[\Sigma_k]$ , let  $F = eX^{\wedge k}$ . Then (6.3) tells us that

$$K(m)_*(F^{\Phi(H)}) \simeq eW_*^{\otimes k},$$

while

$$K(n)_*(F^{\Phi(G)}) \simeq eV_*^{\otimes k}.$$

Now suppose that one can always choose  $k$  and an idempotent  $e \in \mathbb{Z}_{(p)}[\Sigma_k]$  such that if  $\mathbb{F}_*$  is any graded field of characteristic 0 or  $p$  (automatically concentrated in even degrees if  $p \neq 2$ ), and  $U_*$  is a graded  $\mathbb{F}_*$ -vector space, then

$$(6.4) \quad \dim_{\mathbb{F}_*} eU_*^{\otimes k} \begin{cases} = 0 & \text{if } \dim_{\mathbb{F}_*} U_* \text{ is 'small'} \\ \neq 0 & \text{if } \dim_{\mathbb{F}_*} U_* \text{ is 'large'}, \end{cases}$$

where ‘small’ includes  $\dim_{K(m)_*} W_*$  and ‘large’ includes  $\dim_{K(n)_*} V_*$ . Then we could conclude that  $K(m)_*(F^{\Phi(H)}) = 0$ , while  $K(n)_*(F^{\Phi(G)}) \neq 0$ , proving Theorem 6.1. (We need to include the possibility that  $\mathbb{F}_*$  has characteristic 0 to deal with the cases when  $m = 0$  or  $n = 0$  in Theorem 6.1.)

We will ‘almost’ show that this can be done, but there are couple of issues here:

- When  $p \neq 2$ , one needs to handle  $U_*$ ’s that have both even and odd dimensional parts.
- When  $p = 2$ , one needs to handle the exotic symmetric monoidal structure.

The first of these is by far the most significant: one needs to keep track of the dimensions of the even and odd dimensional parts of our graded vector spaces. In the next subsection, we will describe the precise version of (6.4) that we will use to complete the proof of Theorem 6.1.

The second issue is easily ‘filtered away’, as we now explain.

When  $p = 2$ , recall that  $K(n)_*(X)$  is naturally a  $\Lambda_*(q)$ -module where  $\Lambda_*(q)$  denotes the graded Hopf algebra  $K(n)_*[q]/(q^2)$ , with  $|q| = 2^n - 1$ .

Our exotic structure has  $U_* \otimes V_* = U_* \otimes_{K(n)_*} V_*$  as  $\Lambda_*(q)$ -modules, with twist isomorphism  $\tau : U_* \otimes V_* \rightarrow V_* \otimes U_*$  given by  $\tau(x \otimes y) = y \otimes x + v_n(q(y) \otimes q(x))$ .

Let  $\otimes_u$  denote the standard structure:  $U_* \otimes_u V_*$  is still  $U_* \otimes_{K(n)_*} V_*$  as  $\Lambda_*(q)$ -modules, but now with twist isomorphism  $\tau : U_* \otimes_u V_* \rightarrow V_* \otimes_u U_*$  given by  $\tau(x \otimes y) = y \otimes x$ .

**Lemma 6.2.** *Let  $U_*$  be a  $\Lambda_*(q)$ -module that is finite dimensional as a  $K(n)_*$ -vector space. For any  $k \geq 1$  and idempotent  $e \in \mathbb{Z}_{(2)}[\Sigma_k]$ ,*

$$\dim_{K(n)_*} eU_*^{\otimes k} = \dim_{K(n)_*} eU_*^{\otimes_u k}.$$

Thus, for the purposes of proving our theorem, we can replace the exotic twist isomorphism with the standard one.

*Proof of Lemma 6.2.* We filter  $U_*$  as a  $\Lambda_*(q)$ -module in the simplest way possible: let  $F_0 = \ker q$ , and then let  $F_1 = U_*$ . This induces a filtration on  $U_*^{\otimes k}$  as a  $\Lambda_*(q)[\Sigma_k]$ -module, with associated graded module  $(F_0 \oplus F_1/F_0)^{\otimes k}$ . But this is just  $(F_0 \oplus F_1/F_0)^{\otimes_u k}$ , since  $q$  acts trivially on  $(F_0 \oplus F_1/F_0)$ . Applying an idempotent is an exact process, and thus idempotents commute with filtrations. Thus we have

$$\begin{aligned} \dim_{K(n)_*} eU_*^{\otimes k} &= \dim_{K(n)_*} e(F_0 \oplus F_1/F_0)^{\otimes k} \\ &= \dim_{K(n)_*} e(F_0 \oplus F_1/F_0)^{\otimes_u k} = \dim_{K(n)_*} eU_*^{\otimes_u k}. \end{aligned}$$

□

#### 6.4. Properties of some idempotents and the proof of Theorem 6.1.

If  $\mathbb{F}_*$  is a graded field, and  $V_*$  is a graded  $\mathbb{F}_*$ -vector space,  $V_*$  will have a canonical decomposition  $V_* = V_*^e \oplus V_*^o$  into its even and odd graded parts.

We are considering  $V_*^{\otimes k} = (V_*^e \oplus V_*^o)^{\otimes k}$ , the  $k$ -fold tensor product over  $\mathbb{F}_*$ , of  $V_*$  with itself, viewed as a  $\mathbb{F}_*[\Sigma_k]$ -module with the usual sign conventions.

**Definition 6.3.** Let  $k(d) = \binom{d+1}{2}$  for  $d \geq 1$ .

The next two propositions describe properties of some idempotents that will suffice to complete the proof of Theorem 6.1.

**Proposition 6.4.** *For all  $d$ , there exists an idempotent  $e_d \in \mathbb{Z}_{(2)}[\Sigma_{k(d)}]$  such that, for all graded fields  $\mathbb{F}_*$  of characteristic 0 or 2, and all finite dimensional graded  $\mathbb{F}_*$ -vector spaces  $V_*$ ,*

$$e_d V_*^{\otimes k(d)} \neq 0 \text{ if and only if } \dim_{\mathbb{F}_*} V_* \geq d.$$

**Proposition 6.5.** *Let  $p$  be odd. For all  $d$ , there exist idempotents  $e_d, e'_d \in \mathbb{Z}_{(p)}[\Sigma_{(p-1)k(d)}]$  such that, for all graded fields  $\mathbb{F}_*$  of characteristic 0 or  $p$ , and all finite dimensional graded  $\mathbb{F}_*$ -vector spaces  $V_*$ ,*

$$e_d V_*^{\otimes (p-1)k(d)} \neq 0 \text{ if and only if } (p-1) \dim_{\mathbb{F}_*} V_*^e + \dim_{\mathbb{F}_*} V_*^o \geq (p-1)d.$$

and

$$e'_d V_*^{\otimes (p-1)k(d)} \neq 0 \text{ if and only if } (p-1) \dim_{\mathbb{F}_*} V_*^o + \dim_{\mathbb{F}_*} V_*^e \geq (p-1)d.$$

We postpone a discussion of the proofs to the next subsection. Assuming these propositions, we now complete the proof of Theorem 6.1.

We will use the following elementary lemma in the case when  $p$  is odd.

**Lemma 6.6.** *Let  $p$  be an odd prime. Suppose that nonnegative integers  $a_e$ ,  $a_o$ ,  $b_e$ , and  $b_o$  satisfy  $a_e + a_o < b_e + b_o$ . Then there exists  $d$  such that at least one of the following inequalities holds:*

$$(p-1)a_e + a_o < (p-1)d \leq (p-1)b_e + b_o,$$

$$(p-1)a_o + a_e < (p-1)d \leq (p-1)b_o + b_e.$$

*Proof.* The inequality  $a_e + a_o < b_e + b_o$  implies that  $(b_e - a_e) + (b_o - a_o) \geq 1$ , so at least one of the inequalities  $(b_e - a_e) \geq 1$  and  $(b_o - a_o) \geq 1$  is true.

If  $(b_e - a_e) \geq 1$ , then  $(p-2)(b_e - a_e) \geq p-2$ . Adding that to  $(b_e - a_e) + (b_o - a_o) \geq 1$  shows that  $[(p-1)b_e + b_o] - [(p-1)a_e + a_o] \geq p-1$ . This, in turn, clearly implies that there exists  $d$  such that

$$(p-1)a_e + a_o < (p-1)d \leq (p-1)b_e + b_o.$$

The case when  $(b_o - a_o) \geq 1$  is similar.  $\square$

*Proof of Theorem 6.1.* Suppose that a  $p$ -local  $G$ -spectrum  $X$  satisfies

$$\dim_{K(m)_*} K(m)_*(X^{\Phi(H)}) < \dim_{K(n)_*} K(n)_*(X^{\Phi(G)}).$$

We need to show that there is then a finite  $G$ -spectrum  $F$  of the form  $F = eX^{\wedge k}$  with  $K(m)_*(F^{\Phi(H)}) = 0$  and  $K(n)_*(F^{\Phi(G)}) \neq 0$ .

If  $p = 2$ , we let  $d = \dim_{K(m)_*} K(m)_*(X^{\Phi(H)}) + 1$ , so that

$$\dim_{K(m)_*} K(m)_*(X^{\Phi(H)}) < d \leq \dim_{K(n)_*} K(n)_*(X^{\Phi(G)}).$$

Now let  $F = e_d X^{\wedge k(d)}$ . If we let  $W_* = K(m)_*(X^{\Phi(H)})$  and  $V_* = K(n)_*(X^{\Phi(G)})$ , then  $K(m)_*(F^{\Phi(H)}) \simeq e_d W_*^{\otimes k(d)}$  and  $K(n)_*(F^{\Phi(G)}) \simeq e_d V_*^{\otimes k(d)}$ . Proposition 6.4 then applies to tell us that  $F$  has the desired properties:

$$K(m)_*(F^{\Phi(H)}) = 0 \text{ and } K(n)_*(F^{\Phi(G)}) \neq 0.$$

If  $p$  is odd, one argues similarly, using Proposition 6.5. The lemma shows that there exists a  $d$  such that at least one of the following is true:

$$(a) \quad (p-1) \dim_{K(m)_*} K(m)_*(X^{\Phi(H)})^e + \dim_{K(m)_*} K(m)_*(X^{\Phi(H)})^o$$

$$< (p-1)d \leq (p-1) \dim_{K(n)_*} K(n)_*(X^{\Phi(G)})^e + \dim_{K(n)_*} K(n)_*(X^{\Phi(G)})^o,$$

$$(b) \quad (p-1) \dim_{K(m)_*} K(m)_*(X^{\Phi(H)})^o + \dim_{K(m)_*} K(m)_*(X^{\Phi(H)})^e$$

$$< (p-1)d \leq (p-1) \dim_{K(n)_*} K(n)_*(X^{\Phi(G)})^o + \dim_{K(n)_*} K(n)_*(X^{\Phi(G)})^e.$$

If (a) holds, one lets  $F = e_d X^{\wedge (p-1)k(d)}$ . If (b) holds, one lets  $F = e'_d X^{\wedge (p-1)k(d)}$ .  $\square$

*Remark 6.7.* It was an insight of Jeff Smith in the mid 1980's that the classic representation theory literature offered formulae for idempotents that would have properties like those in the propositions, and that one could make good use of these, with arguments similar to those here. (His application was to construct an explicit finite complex whose mod  $p$  cohomology as an  $A$ -module made it relatively easy to show it was type  $n$ , and also, using

standard Adams spectral sequence methods, that it admitted a  $v_n$ -self map: see [HS98]). His work with idempotents appears in a manuscript [S90] dating from around 1990, but is, sadly, unpublished. However both background and the results needed for the applications in [HS98], and also by us here, appear in [Rav92, Appendix C].

**6.5. The special idempotents.** In this section we describe idempotents  $e_d$  and  $e'_d$  with the properties listed in Propositions 6.4 and 6.5.

To give the reader a sense of how this might go, we first offer two examples, which describe the first interesting cases.

**Example 6.8.** When  $p$  is odd and  $d = 1$ , one can define  $e_1, e'_1 \in \mathbb{Z}_{(p)}[\Sigma_{p-1}]$  as follows:

$$e_1 = \frac{1}{(p-1)!} \sum_{\sigma \in \Sigma_{p-1}} [\sigma] \text{ and } e'_1 = \frac{1}{(p-1)!} \sum_{\sigma \in \Sigma_{p-1}} \text{sgn}(\sigma)[\sigma].$$

It is not hard to see that  $e_1(V_*^{\otimes p-1}) \simeq \bigoplus_{i+j=p-1} \Gamma^i(V_*^e) \otimes \Lambda^j(V_*^o)$ , where  $\Gamma^i$  is the  $i$ th symmetric invariants functor, and  $\Lambda^j$  is the  $j$ th exterior power functor. It follows that  $e_1 V_*^{\otimes p-1} = 0$  if and only if  $V_*^e = 0$  and  $\dim_{\mathbb{F}_*} V_*^o < p-1$ .

For  $e'_1$ , the roles of  $V_*^e$  and  $V_*^o$  are reversed.

**Example 6.9.** When  $p = 2$ ,  $e_2 \in \mathbb{Z}_{(2)}[\Sigma_3]$  is the first interesting case. There are transpositions  $s = (12)$  and  $t = (13)$  in  $\Sigma_3$ . A formula for  $e_2$  is then

$$e_2 = \frac{1}{3}(1 + [s])(1 - [t]) = \frac{1}{3}(1 + [s] - [t] - [st]).$$

Then one checks that  $e_2 V_*^{\otimes 3} = 0$  if  $V_*$  is one dimensional, while  $e_2 V_*^{\otimes 3}$  is two dimensional if  $V_*$  is two dimensional, and still larger if  $V_*$  is larger.

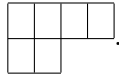
Our special idempotents are all examples of primitive idempotents in  $\mathbb{Q}[\Sigma_k]$  that happen to lie in the subring  $\mathbb{Z}_{(p)}[\Sigma_k]$ . Standard representation theory tells us that equivalence classes of primitive idempotents in  $\mathbb{Q}[\Sigma_k]$  under conjugation by units in the group ring correspond to the isomorphism classes of irreducible  $\mathbb{Q}[\Sigma_k]$ -modules.

These have been much studied for a long time, and we say a little bit about how the idempotents are constructed. We will be a bit informal here, since [Rav92, Appendix C.1] has precise details. A useful textbook on this subject is [JK81].

Firstly, the idempotents are parametrized by partitions of  $k$ , where a *partition*  $\lambda$  of  $k$  consists of a finite nonincreasing sequence of natural numbers  $\lambda = (\lambda_1, \dots, \lambda_r)$  such that  $\lambda_1 + \dots + \lambda_r = k$ .

These are often pictured with Young diagrams, as in the next example.

**Example 6.10.** The partition  $\lambda = (4, 2)$  of 6 has *Young diagram*



Now one fills the boxes in the Young diagram with the numbers  $1, \dots, k$ : this is a *tableau*  $t$  for  $\lambda$  [JK81, p.28].

**Example 6.11.** The ‘standard’ tableau  $t$  for  $\lambda = (4, 2)$  is

$$\begin{array}{|c|c|c|c|} \hline 1 & 2 & 3 & 4 \\ \hline 5 & 6 & & \\ \hline \end{array}.$$

Associated to a tableau  $t$ , one has row and column stabilizer subgroups of  $\Sigma_k$  denoted  $R_t$  and  $C_t$ .

**Example 6.12.** With  $t$  as in the last example,  $R_t = \Sigma_{\{1,2,3,4\}} \times \Sigma_{\{5,6\}}$  and  $C_t = \Sigma_{\{1,5\}} \times \Sigma_{\{2,6\}}$  are the subgroups of  $\Sigma_6 = \Sigma_{\{1,2,3,4,5,6\}}$ .

Also associated to the partition  $\lambda$  is an integer  $h_\lambda$ , which is the product of the *hook lengths* associated to the boxes making up the Young diagram, where the hook length of a box is  $1 +$  the number of boxes to the right and below the box.

**Example 6.13.** If  $\lambda = (4, 2)$ , we have hook lengths

$$\begin{array}{|c|c|c|c|} \hline 5 & 4 & 2 & 1 \\ \hline 2 & 1 & & \\ \hline \end{array}$$

so  $h_\lambda = 5 \cdot 4 \cdot 2 \cdot 1 \cdot 2 \cdot 1 = 80$ .

Finally, given a tableau  $t$  for  $\lambda$ , one defines  $e_t \in \mathbb{Q}[\Sigma_k]$  by the formula

$$(6.5) \quad e_t = \frac{1}{h_\lambda} \left( \sum_{\alpha \in R_t} [\alpha] \right) \left( \sum_{\beta \in C_t} \text{sgn}(\beta)[\beta] \right) = \frac{1}{h_\lambda} \sum_{\substack{\alpha \in R_t \\ \beta \in C_t}} \text{sgn}(\beta)[\alpha\beta].$$

This turns out to be a primitive idempotent – combine [JK81, Theorem 3.1.10] with [JK81, Theorem 2.3.21] – and two different choices of tableau for  $\lambda$  give conjugate idempotents.

Note that if a prime  $p$  does not divide any of the hook lengths of  $\lambda$ , then  $e_t \in \mathbb{Z}_{(p)}[\Sigma_k]$ : the partition  $\lambda$  is then called a  $p$ -core [JK81, p.76].

**Example 6.14.** The partition  $\lambda = (4, 2)$  of 6 is a 3-core.

There is one more construction we want to use that is not mentioned in [Rav92]: if  $\lambda$  is a partition of  $k$ , there is an associated partition  $\lambda'$  obtained by interchanging the rows and columns in the Young diagram [JK81, p.22], and a tableau  $t$  for  $\lambda$  determines a tableau  $t'$  of  $\lambda'$ . Note that if  $\lambda$  is a  $p$ -core, so is  $\lambda'$ .

**Example 6.15.** If  $\lambda = (4, 2)$ , with tableau  $t = \begin{array}{|c|c|c|c|} \hline 1 & 2 & 3 & 4 \\ \hline 5 & 6 & & \\ \hline \end{array}$ , then  $\lambda' =$

$$(2, 2, 1, 1) \text{ and } t' = \begin{array}{|c|c|} \hline 1 & 5 \\ \hline 2 & 6 \\ \hline 3 & \\ \hline 4 & \\ \hline \end{array}.$$

From the construction, it is not hard to see that, if  $V_*$  is a graded vector space over a field of characteristic 0, or  $p$  if  $\lambda$  is a  $p$ -core, there are isomorphisms of graded vector spaces

$$(6.6) \quad \Sigma^k e_{t'}(V_*^{\otimes k}) \simeq e_t(\Sigma V_*^{\otimes k}).$$

Here  $\Sigma V_*$  denotes the graded vector space  $V_*$  with a shift up by one in grading, so the even dimensional part of  $V_*$  becomes the odd dimensional part of  $\Sigma V_*$  and vice versa.

The idempotents in Propositions 6.4 and 6.5 are all of the sort just described.

Given a prime  $p$ , let  $\lambda_{p,d}$  be the partition of  $(p-1)\binom{d+1}{2}$  given by

$$\lambda_{p,d} = ((p-1)d, (p-1)(d-1), \dots, (p-1)).$$

These are  $p$ -cores: see [Rav92, Lemma C.1.4]. (Indeed, when  $p = 2$ , these partitions are the only 2-cores.) For all primes  $p$ , one now lets  $e_d \in \mathbb{Z}_{(p)}[\Sigma_{(p-1)\binom{d+1}{2}}]$  be the idempotent  $e_{t_{p,d}}$  where  $t_{p,d}$  is the standard tableau for the partition  $\lambda_{p,d}$ .

At odd primes, we let  $e'_d = e_{t'_d}$ .

[Rav92, Thm.C.2.1] says that, for all graded fields  $\mathbb{F}_*$  of characteristic  $p$ , and all finite dimensional graded  $\mathbb{F}_*$ -vector spaces  $V_*$ ,

$$(6.7) \quad e_d V_*^{\otimes (p-1)k(d)} \neq 0 \Leftrightarrow (p-1) \dim_{\mathbb{F}_*} V_*^e + \dim_{\mathbb{F}_*} V_*^o \geq (p-1)d.$$

This is given a careful proof in [Rav92]. This also follows from the more general ‘hook theorem’ of [BR87]. Note how if  $p = 2$ , this simplifies to the statement in Proposition 6.4. This  $p = 2$  statement can also be deduced directly from [JK81, Corollary 8.1.17].

When  $p$  is odd, (6.6) and (6.7) then combine to tell us that for all graded fields  $\mathbb{F}_*$  of characteristic  $p$ , and all finite dimensional graded  $\mathbb{F}_*$ -vector spaces  $V_*$ ,

$$(6.8) \quad e'_d V_*^{\otimes (p-1)k(d)} \neq 0 \Leftrightarrow (p-1) \dim_{\mathbb{F}_*} V_*^o + \dim_{\mathbb{F}_*} V_*^e \geq (p-1)d.$$

It remains to explain why (6.7) and (6.8) also hold when the field  $\mathbb{F}_*$  has characteristic 0, rather than  $p$ .

This follows immediately from the next lemma, noting that if  $\mathbb{F}_*$  is a graded field of characteristic either 0 or  $p$ , then any graded  $\mathbb{F}_*$ -vector space  $V_*$  can be written in the form  $V_* = \mathbb{F}_* \otimes_{\mathbb{Z}_{(p)}} A_*$ , where  $A_*$  is a graded  $\mathbb{Z}_{(p)}$ -module, free in each degree.

**Lemma 6.16.** *Let  $A_*$  be a graded  $\mathbb{Z}_{(p)}$ -module, free in each degree, and let  $K_*$  and  $k_*$  respectively be graded fields of characteristic 0 and  $p$ . If  $e \in \mathbb{Z}_{(p)}[\Sigma_k]$  is any idempotent, the dimensions (over  $K_*$ ) of the even and odd parts of  $e(K_* \otimes_{\mathbb{Z}_{(p)}} A_*)^{\otimes k}$  will equal the dimensions (over  $k_*$ ) of the even and odd parts of  $e(k_* \otimes_{\mathbb{Z}_{(p)}} A_*)^{\otimes k}$ .*

*Proof.* There are isomorphisms

$$e(K_* \otimes_{\mathbb{Z}_{(p)}} A_*)^{\otimes k} \simeq K_* \otimes_{\mathbb{Z}_{(p)}} (eA_*^{\otimes k}),$$

and

$$e(k_* \otimes_{\mathbb{Z}_{(p)}} A_*)^{\otimes k} \simeq k_* \otimes_{\mathbb{Z}_{(p)}} (eA_*^{\otimes k}).$$

As  $eA_*^{\otimes k}$  is a direct summand of  $A_*^{\otimes k}$ , and thus a free  $\mathbb{Z}_{(p)}$ -module, the dimensions for the even and odd parts of both  $e(K_* \otimes_{\mathbb{Z}_{(p)}} A_*)^{\otimes k}$  and  $e(k_* \otimes_{\mathbb{Z}_{(p)}} A_*)^{\otimes k}$  agree with the ranks, over  $\mathbb{Z}_{(p)}$ , of the even and odd parts of  $eA_*^{\otimes k}$ .  $\square$

*Remark 6.17.* This elementary lemma has a curious consequence: if  $V_*$  is a finite dimensional vector space over a graded field  $\mathbb{F}_*$  of characteristic 0, and  $e \in \mathbb{Z}_{(2)}[\Sigma_k]$  is any idempotent, then the total dimension of  $eV_*^{\otimes k}$  is independent of the grading of  $V_*$ . This is clearly true if  $\mathbb{F}_*$  were of characteristic 2, and the lemma allows us to transfer this observation to characteristic 0.

## 7. LOWER BOUNDS FOR $r_n(G, H)$ USING REPRESENTATION THEORY

In this section, we give the background needed to use our lens space and projective space constructions, and give the details of Example 2.8 (which implies that  $r \leq r_n(C_p^r, \{e\})$ ), and then our more delicate Theorem 2.14.

**7.1. The fixed points of  $L_p(\omega)$  and  $\mathbb{RP}(\omega)$ .** As in the introduction, if  $\omega$  is a unitary representation of a finite group  $G$ , we let  $L_p(\omega) = S(\omega)/C_p$ , where  $S(\omega)$  is the unit sphere in  $\omega$ , and  $C_p \subset U(1) \subset \mathbb{C}^\times$  is the group of  $p$ th roots of unity. Thus, if  $\omega$  has complex dimension  $d$ , then  $L_p(\omega) = L_p(\mathbb{C}^d)$  is a  $(2d - 1)$ -dimensional lens space with an induced action of  $G$ .

**Lemma 7.1.** *Given  $x \in S(\omega)$ ,  $[x] \in L_p(\omega)$  is fixed by  $G$  if and only if  $x$  spans a 1-dimensional sub-representation of  $\omega$  which factors through  $G/\Phi(G)$ .*

*Proof.* Given  $x \in S(\omega)$ ,  $[w] \in L_p(\omega)$  is fixed by  $G$  if and only if we can define a character  $\lambda : G \rightarrow C_p \subset \mathbb{C}^\times$  by  $gx = \lambda(g)x$ , and such a character will factor through  $G/\Phi(G)$ .  $\square$

To use this lemma to describe  $L_p(\omega)^G$  as a subspace of  $L_p(\omega)$ , we need to recall that  $\omega$  admits a canonical decomposition into its isotypical components:  $\omega \simeq \bigoplus_i \omega_i$ , with the sum running over an indexing set for the simple  $\mathbb{C}[G]$ -modules  $\lambda_i$ . The summand  $\omega_i$  is the span of all submodules isomorphic to  $\lambda_i$ .

Note that each inclusion  $\omega_i \subset \omega$  induces a subspace inclusion  $L_p(\omega_i) \subset L_p(\omega)$ , and that these various subspaces are disjoint. The lemma thus has the following formula as a corollary.

**Proposition 7.2.**  $L_p(\omega)^G = \coprod_i L_p(\omega_i)$ , with the disjoint union running over the 1-dimensional complex representations of  $G$  which factor through  $G/\Phi(G)$ .

Similarly, if  $\omega$  is a real representation of a finite group  $G$ , we let  $\mathbb{RP}(\omega)$  be the associated projective space: the  $G$ -space of real lines in  $\omega$ . So if  $\omega$  is  $d$ -dimensional, then  $\mathbb{RP}(\omega) = \mathbb{RP}(\mathbb{R}^d)$  is a  $(d-1)$ -dimensional real projective space with an induced action of  $G$ .

**Lemma 7.3.** *A point  $L \in \mathbb{RP}(\omega)$  is fixed by  $G$  if and only if, when viewed as a line in  $\omega$ ,  $L$  is a one dimensional sub-representation.*

Again one has an isotypical decomposition:  $\omega \simeq \bigoplus_i \omega_i$ , with the sum running over an indexing set for the simple  $\mathbb{R}[G]$ -modules  $\lambda_i$ .

The lemma thus has the following as a corollary.

**Proposition 7.4.**  $\mathbb{RP}(\omega)^G = \coprod_i \mathbb{RP}(\omega_i)$ , with the disjoint union running over the 1-dimensional real representations of  $G$ .

As in §2.2.3, given a finite 2-group  $G$ , we let  $e_G \in \mathbb{R}[G]$  be the central idempotent

$$e_G = \frac{1}{|\Phi(G)|} \sum_{g \in \Phi(G)} g.$$

One easily checks that  $\Phi(G)$  acts trivially on the image of  $e_G$ , and that  $e_G$  acts as the identity on any  $\mathbb{R}[G]$ -module on which  $\Phi(G)$  acts trivially. It follows that if  $\omega$  is a real representation of  $G$ , then the image of  $e_G$  is the maximal direct summand of  $\omega$  on which  $\Phi(G)$  acts trivially, so can be viewed as a real representation of  $G/\Phi(G)$  pulled back to  $G$ .

But this maximal direct summand is precisely  $\bigoplus_i \omega_i$ , with the sum over the 1-dimensional real representations of  $G$ , as in the last proposition, and so we have the next corollary.

**Corollary 7.5.** *The summand inclusion  $e_G \omega \hookrightarrow \omega$  induces a homeomorphism*

$$\mathbb{RP}(e_G \omega)^G = \mathbb{RP}(\omega)^G.$$

**7.2. The Morava  $K$ -theory of  $L_p(\mathbb{C}^d)$  and  $\mathbb{RP}(\mathbb{R}^d)$ .** We will use the following familiar calculations.

**Proposition 7.6.** *When  $p = 2$ , one has*

$$k_n(\mathbb{RP}(\mathbb{R}^d)) = \begin{cases} d & \text{if } d \leq 2^{n+1} \\ 2^{n+1} & \text{if } d \text{ is even and } d \geq 2^{n+1} \\ 2^{n+1} - 1 & \text{if } d \text{ is odd and } d > 2^{n+1}. \end{cases}$$

**Proposition 7.7.** *For all primes  $p$ , one has*

$$k_n(L_p(\mathbb{C}^d)) = \begin{cases} 2d & \text{if } d \leq p^n \\ 2p^n & \text{if } d \geq p^n. \end{cases}$$



Both propositions follow from computations using the Atiyah–Hirzebruch spectral sequence converging to  $K(n)^*(X)$ . As already described in §3.3, this has  $E_2^{*,*} = H^*(X; \mathbb{Z}/p)[v_n^{\pm 1}]$ , and first possible nonzero differential given by  $d_{2p^n-1}(x) = v_n Q_n(x)$ , where  $Q_n$  is the  $n$ th Milnor primitive in the mod  $p$  Steenrod algebra.

For the first proposition, one knows that  $H^*(\mathbb{RP}(\mathbb{R}^d); \mathbb{Z}/2) = \mathbb{Z}/2[x]/(x^d)$ . From the definition of  $Q_n$ , one sees that  $Q_n(x) = x^{2^{n+1}}$  if  $2^{n+1} < d$ . As  $Q_n$  is a derivation, it follows that  $Q_n(x^r) = x^{2^{n+1}+r}$  if  $r$  is odd and  $2^{n+1} + r < d$ , and is 0 otherwise. It follows that nonzero elements in the  $E_{2^{n+1}}$ -term of the spectral sequence consists of the even dimensional classes between degrees 0 and  $2^{n+1}$ , and the odd dimensional classes between degrees  $d + 1 - 2^{n+1}$  and  $d$ . Even dimensional classes are in the image of  $K(n)^*(\mathbb{CP}^\infty)$  under the composite  $\mathbb{RP}(\mathbb{R}^d) \hookrightarrow \mathbb{RP}^\infty \rightarrow \mathbb{CP}^\infty$ , and so are permanent cycles. As the odd dimensional elements in the  $E_{2^{n+1}}$ -term are clearly permanent cycles for dimension reasons, it follows that there can be no higher differentials, and the proposition follows by counting classes.

When  $p = 2$ , the first proposition includes the second, as  $L_2(\mathbb{C}^d) = \mathbb{RP}(\mathbb{R}^{2d})$ .

The proof of the second proposition when  $p$  is odd is similar, starting from the calculations  $H^*(L_p(\mathbb{C}^d); \mathbb{Z}/p) = \Lambda^*(x) \otimes \mathbb{Z}/p[y]/(y^d)$ , with  $Q_n(x) = y^{p^n}$  if  $p^n < d$ .

**7.3. Using  $L_p(\omega)$ : the details of Example 2.8.** Recall the situation of Example 2.8. Let  $E_r = (C_p)^r$  and let  $\rho_r^{\mathbb{C}}$  denote its regular representation: the sum of the  $p^r$  distinct 1-dimensional complex representations of  $E_r$ .

We let  $\omega = p^n \rho_r^{\mathbb{C}}$ , and we want to show that

$$k_{n+r-1}(L_p(\omega)) = 2p^{n+r-1}$$

and

$$k_n(L_p(\omega)^{E_r}) = 2p^{n+r}.$$

As  $p^n \rho_r^{\mathbb{C}}$  is  $p^{n+r}$  dimensional,  $L_p(\omega) = L_p(\mathbb{C}^{p^{n+r}})$ , and the first follows immediately from Proposition 7.7.

As  $\omega$  is the direct sum of  $p^n$  copies of each of the  $p^r$  1-dimensional representations of  $E_r$ , Proposition 7.2 tells us that  $L_p(\omega)^{E_r}$  is the disjoint union of  $p^r$  copies of  $L_p(\mathbb{C}^{p^n})$ , and the second calculation also follows from Proposition 7.7.

**7.4. Proof of Theorem 2.14.** We recall the hypotheses of Theorem 2.14.

We are assuming that  $H$  be a proper nontrivial subgroup of a finite 2-group  $G$  such that  $\Phi(H) = \Phi(G) \cap H$ , and that  $G$  has an irreducible real representation  $\Delta$  such that  $e_H \text{Res}_H^G(\Delta)$  is the regular real representation of  $H/\Phi(H)$  pulled back to  $H$ .

We wish to show that then, for all  $n$ ,  $r_n(G, H) \geq r_-(G, H) + 1$ .

Let  $a = \text{rank of } G/\Phi(G)$  and let  $b = \text{rank of } H/\Phi(H)$ . The hypothesis  $\Phi(H) = \Phi(G) \cap H$  means that  $H/\Phi(H) \rightarrow G/\Phi(G)$  is monic, and thus  $r_-(G, H) = a - b$ . So we wish to show that  $r_n(G, H) \geq a - b + 1$ .

Let  $\tilde{\rho}_G$  be the regular representation of  $G/\Phi(G)$  pulled back to  $G$ , and, similarly, let  $\tilde{\rho}_H$  be the regular representation of  $H/\Phi(H)$  pulled back to  $H$ .

Fixing  $n$ , let

$$\omega = 2^{n+1}\tilde{\rho}_G \oplus \Delta.$$

By Corollary 2.7,  $r_n(G, H) \geq a - b + 1$  will follow if we can show that

$$k_{n+a-b}(\mathbb{RP}(\omega)^H) < k_n(\mathbb{RP}(\omega)^G).$$

The next two lemmas say what we need.

**Lemma 7.8.**  $k_n(\mathbb{RP}(\omega)^G) = 2^{n+a+1}$

**Lemma 7.9.**  $k_{n+a-b}(\mathbb{RP}(\omega)^H) = 2^{n+a+1} - 2^b$ .

*Proof of Lemma 7.8.* Each of the  $2^a$  1-dimensional real representations of  $G$  occurs exactly  $2^{n+1}$  times in  $\omega$ , and thus the corresponding isotypical components of  $\omega$  all have dimension  $2^{n+1}$ . Thus

$$k_n(\mathbb{RP}(\omega)^G) = 2^a k_n(\mathbb{RP}(\mathbb{R}^{2^{n+1}})) = 2^a \cdot 2^{n+1} = 2^{n+a+1}.$$

□

*Proof of Lemma 7.9.* By Corollary 7.5,  $\mathbb{RP}(\omega)^H = \mathbb{RP}(e_H\omega)^H$ . We analyze  $e_H \text{Res}_H^G(\omega)$ .

Since  $H/\Phi(H)$  has index  $2^{a-b}$  in  $G/\Phi(G)$ , we have that  $\text{Res}_H^G(\tilde{\rho}_G) = 2^{a-b}\tilde{\rho}_H$ , and  $e_H$  acts as the identity on this. Meanwhile, we have assumed that  $e_H \text{Res}_H^G(\Delta) = \tilde{\rho}_H$ . Thus

$$\begin{aligned} e_H \text{Res}_H^G(\omega) &= e_H \text{Res}_H^G(2^{n+1}\tilde{\rho}_G \oplus \Delta) \\ &= (2^{n+1}2^{a-b} + 1)\tilde{\rho}_H \\ &= (2^{n+a-b+1} + 1)\tilde{\rho}_H. \end{aligned}$$

This implies that each of the  $2^b$  1-dimensional representations of  $H$  occurs  $2^{n+a-b+1} + 1$  times in  $\omega$ , and thus the corresponding isotypical components of  $\omega$  all have dimension  $2^{n+a-b+1} + 1$ . Thus

$$k_{n+a-b}(\mathbb{RP}(\omega)^H) = 2^b k_n(\mathbb{RP}(\mathbb{R}^{2^{n+a-b+1}+1})) = 2^b \cdot (2^{n+a-b+1} - 1) = 2^{n+a+1} - 2^b.$$

□

**Example 7.10.** It is worth seeing explicitly how and why this all works in the simplest example, when  $n = 0$ ,  $G = D_8$  and  $H = C$ , a noncentral subgroup of order 2.

$D_8$  has four 1-dimensional representations  $\lambda_1, \dots, \lambda_4$ , and one 2-dimensional irreducible  $\Delta$ , which, when restricted to  $C$  is  $1 \oplus \sigma$ , the sum of the two 1-dimensional representations of  $C$ .

We let

$$\omega = 2(\lambda_1 \oplus \lambda_2 \oplus \lambda_3 \oplus \lambda_4) \oplus \Delta,$$

a 10–dimensional representation of  $D_8$ . Thus  $\mathbb{R}\mathbb{P}(\omega)$  is the space  $\mathbb{R}\mathbb{P}(\mathbb{R}^{10}) = \mathbb{R}\mathbb{P}^9$  with an action of  $D_8$ , and we have

$$(\mathbb{R}\mathbb{P}^9)^{D_8} = \coprod_4 \mathbb{R}\mathbb{P}(\mathbb{R}^2) = \coprod_4 \mathbb{R}\mathbb{P}^1,$$

So that  $k_0(\mathbb{R}\mathbb{P}^9)^{D_8} = 4 \cdot 2 = 8$ .

Meanwhile, when viewed as a representation of  $H$ ,

$$\omega = 5(1 \oplus \sigma).$$

Thus

$$(\mathbb{R}\mathbb{P}^9)^C = \coprod_2 \mathbb{R}\mathbb{P}(\mathbb{R}^5) = \coprod_2 \mathbb{R}\mathbb{P}^4.$$

Since  $Q_1$  acts nontrivially on  $H^*(\mathbb{R}\mathbb{P}^4; \mathbb{Z}/2)$  (not true with  $\mathbb{R}\mathbb{P}^4$  replaced by  $\mathbb{R}\mathbb{P}^3!$ ), we have that  $k_1(\mathbb{R}\mathbb{P}^4) = 3$ , so that  $k_1(\mathbb{R}\mathbb{P}^9)^C = 2 \cdot 3 = 6$ .

## 8. BLUE SHIFT NUMBERS FOR EXTRASPECIAL 2-GROUPS

**8.1. Extraspecial 2–groups and their real representations.** We collect some information we will need about extraspecial 2–groups and their real representations. A general reference for the group theory is [Asch00, Chapter 8], and [Q71] has what we need about the representation theory.

By definition, an extraspecial 2–group is a finite 2–group  $\tilde{E}$  such that  $\tilde{E}' = \Phi(\tilde{E}) = Z(\tilde{E})$  is cyclic of order 2. Thus it is a nonabelian group that fits into a central extension

$$C_2 \xrightarrow{i} \tilde{E} \xrightarrow{\pi} E,$$

with  $E$  elementary abelian.

One defines  $q : E \rightarrow C_2$  by the formula  $q(a) = c$  if  $\pi(\tilde{a}) = a$  and  $\tilde{a}^2 = i(c)$ , and then  $\langle \ , \ \rangle : E \times E \rightarrow C_2$  by  $\langle a, b \rangle = q(a+b) - q(a) - q(b)$ . Then  $\langle \ , \ \rangle$  is nondegenerate, symmetric, and bilinear, and  $q$  is a quadratic form. These then determine the group structure on  $\tilde{E}$  by the formulae  $\tilde{a}^2 = i(q(\pi(\tilde{a})))$  and  $[\tilde{a}, \tilde{b}] = i(\langle \pi(\tilde{a}), \pi(\tilde{b}) \rangle)$ .

Quadratic forms like this are classified by their Arf invariant: one learns that  $E$  must be of even dimension, and that, up to isomorphism, there are two distinct possible quadratic functions  $q$  on  $E_{2r}$ . The one that will concern us has Arf invariant 0:  $q = x_1y_1 + \cdots + x_r y_r$ , where  $(x_1, \dots, x_r, y_1, \dots, y_r)$  is dual to a basis  $(a_1, \dots, a_r, b_1, \dots, b_r)$  for  $E$ .

It follows that  $\tilde{E}_{2r}$  has generators  $\tilde{a}_1, \dots, \tilde{a}_r, \tilde{b}_1, \dots, \tilde{b}_r, c$  with  $c^2 = e$ ,  $\tilde{a}_i^2 = \tilde{b}_i^2 = c$  for all  $i$ , and with all generators commuting except that  $[\tilde{a}_i, \tilde{b}_i] = c$  for all  $i$ .

A subspace  $W < E_{2r}$  is *isotropic* if  $\langle W, W \rangle = 0$ . It is not hard to see that, under  $\pi : \tilde{E}_{2r} \rightarrow E_{2r}$ , maximal elementary abelian subgroups of  $\tilde{E}_{2r}$  not containing the center  $\langle c \rangle$  will correspond to maximal isotropic subspaces of  $E_{2r}$ , and all such will be equivalent to  $W_r$ , the subgroup generated by  $\tilde{a}_1, \dots, \tilde{a}_r$ .

Our group  $\tilde{E}_{2r}$  is sometimes denoted  $2_+^{1+2r}$  in the literature, and can also be described as  $D_8^{\circ r}$ , the central product of  $r$  copies of the dihedral group  $D_8$  of order 8. The other extraspecial 2-group of order  $2^{1+2r}$ , sometimes denoted  $2_-^{1+2r}$ , is  $Q_8 \circ D_8^{\circ r-1}$ , where  $Q_8$  is the quaternionic group of order 8.

The  $2^r$  1-dimensional real representations of  $E_{2r}$  pullback to give 1-dimensional real representations of  $\tilde{E}_{2r}$ . The group  $\tilde{E}_{2r}$  has one more irreducible real representation  $\Delta_r$ , a faithful representation of dimension  $2^r$  on which  $c$  acts as  $-1$ . Of key importance to us is that  $\Delta_r$  restricted to  $W_r$  is the regular representation of  $W_r$  [Q71, (5.1)].

**8.2. The computation of  $r_n(\tilde{E}_{2r}, W_r)$  and  $r_n(\tilde{E}_{2r} \times E_s, W_r \times \{e\})$ .** We compute  $r_n(\tilde{E}_{2r}, W_r)$ . We have that

$$\Phi(W_r) = \{e\} = \Phi(\tilde{E}_{2r}) \cap W_r,$$

and  $\Delta_r$  restricted to  $W_r$  is the regular representation, so the hypotheses of Theorem 2.14 hold. As  $r_-(\tilde{E}_{2r}, W_r) = r$ , we deduce the conclusion of Theorem 2.11:  $r_n(\tilde{E}_{2r}, W_r) = r + 1 = r_+(\tilde{E}_{2r}, W_r)$ .

To fill in the details of Example 2.10, we let  $\omega = 2^{n+1}\tilde{\rho}_{2r} \oplus \Delta_r$ , where  $\tilde{\rho}_{2r}$  is the real regular representation of  $E_{2r}$ , pulled back to  $\tilde{E}_{2r}$ .

Lemma 7.8 tells us that

$$k_n(\mathbb{RP}(\omega)^{\tilde{E}_{2r}}) = 2^{n+1+2r},$$

while Lemma 7.9 tells us that

$$k_{n+r}(\mathbb{RP}(\omega)^{W_r}) = 2^{n+1+2r} - 2^r.$$

Similarly, Theorem 2.12 is the special case of Theorem 2.14, applied to the pair  $(\tilde{E}_{2r} \times E_s, W_r \times \{e\})$ , with the special representation of  $\tilde{E}_{2r} \times E_s$  chosen to be  $\Delta_r$ , pulled back to the product. Now  $r_-(\tilde{E}_{2r} \times E_s, W_r \times \{e\}) = r + s$ , so we learn that

$$r_n(\tilde{E}_{2r} \times E_s, W_r \times \{e\}) = r + s + 1 = r_+(\tilde{E}_{2r} \times E_s, W_r \times \{e\}).$$

**8.3. Blue shift numbers for a family of groups.** Let  $\mathcal{G}$  be the collection of 2-groups  $G$  fitting into a central extension

$$C_2 \rightarrow G \xrightarrow{p} E$$

with  $E$  elementary abelian.

Our goal in this subsection is to prove Theorem 2.13, which said the following:

*Let  $G \in \mathcal{G}$ . For all  $K < H < G$ ,  $r_n(H, K) = r_+(H, K)$  for all  $n$ .*

We show that the family of calculations

$$r_n(\tilde{E}_{2r} \times E_s, W_r \times \{e\}) = r + s + 1 = r_+(\tilde{E}_{2r} \times E_s, W_r \times \{e\})$$

suffices to prove this.

We start with some elementary observations.

Note that if  $G$  is in  $\mathcal{G}$  and  $H < G$  is nontrivial, then  $H$  is again in  $\mathcal{G}$ . Thus it suffices to show that if  $G \in \mathcal{G}$  then  $r_n(G, H) = r_+(G, H)$  for all  $H < G$ . We prove this by induction on  $|G|$ .

For any group  $G \in \mathcal{G}$ , either  $G$  is abelian or  $G' = \Phi(G) = C_2$ . If  $G$  is abelian we are done:  $r_n(G, H) = r_+(G, H)$  for all  $H < G$ . Thus we can assume this is not the case.

Next observe that if  $G \in \mathcal{G}$  and  $N \triangleleft G$  is any proper normal subgroup, then  $G/N \in \mathcal{G}$ . Now let  $N = H \cap Z(G)$  which will be a normal subgroup of  $G$ . Then  $r_n(G, H) = r_n(G/N, H/N)$  and  $r_+(G, H) = r_+(G/N, H/N)$ . If  $N \neq \{e\}$  then  $r_n(G/N, H/N) = r_+(G/N, H/N)$  by our inductive assumption, and we are done. Thus we can assume that  $H \cap Z(G) = \{e\}$ .

Since  $\Phi(G) \leq Z(G)$ , we see that  $H \cap \Phi(G) = \{e\}$  also. This implies that  $p : H \rightarrow E$  is monic, so  $H$  is elementary abelian.

We isolate the next part of our argument as a lemma.

**Lemma 8.1.** *In this situation, suppose that  $C_G(H)$  contains an element of order 4. Then  $r_-(G, H) = r_+(G, H)$ , and so  $r_n(G, H) = r_+(G, H)$ .*

*Proof.* Suppose that there exists  $x \in C_G(H)$  of order 4. As  $H$  is elementary abelian, we know that  $x \notin H$ . Since  $x^2$  must generate  $\Phi(G)$ , we can further conclude that  $x \notin H\Phi(G)$ , which means that  $p(x) \notin p(H)$ . If we let  $K < G$  be the subgroup generated by  $H$  and  $x$ , then we have

$$\begin{aligned} r_+(G, H) &\leq 1 + r_+(G, K) \\ &= 1 + r_+(E, p(K)) \\ &= 1 + r_-(E, p(K)) \\ &= r_-(E, p(H)) \\ &= r_-(G, H). \end{aligned}$$

□

Since  $Z(G) < C_G(H)$ , the lemma implies that we can assume that  $Z(G)$  is elementary abelian, and thus admits a decomposition  $Z(G) = C_2 \times E_s$  for some  $s$ , where the first factor is  $G'$ . If we let  $\tilde{E} = G/E_s$  then  $\tilde{E}$  will be an extraspecial 2-group, so  $\tilde{E}/\tilde{E}' = E_{2r}$ , for some  $r$ , and the sequence

$$C_2 \rightarrow G \xrightarrow{p} E$$

identifies with a sequence of the form

$$C_2 \rightarrow \tilde{E} \times E_s \xrightarrow{p \times 1} E_{2r} \times E_s.$$

Now recall that  $H < G = \tilde{E} \times E_s$  is elementary abelian and that  $H \cap Z(G)$  is trivial. Since  $Z(G) = C_2 \times E_s$ , we conclude that  $H$  projects isomorphically to an elementary abelian subgroup in  $\tilde{E}$  that does not contain the central  $C_2$ . Another way of putting this, is that  $H$  is the graph of a homomorphism  $W \rightarrow E_s$ , where  $W < \tilde{E}$  is an elementary abelian subgroup that does not contain the central  $C_2$ . One can conclude that  $C_G(H) = C_G(W) = C_{\tilde{E}}(W) \times E_s$ .

If  $\tilde{E} = Q_8 \circ D_8^{or-1}$ , then  $Q_8 < C_{\tilde{E}}(W)$ , and so the centralizer contains an element of order 4, and the lemma applies. Similarly, there is an element of order 4 in  $C_{\tilde{E}}(W)$  if  $\tilde{E} = \tilde{E}_{2r}$  and  $W$  has rank less than  $r$ .

So we can assume that our pair  $(G, H) = (\tilde{E}_{2r} \times E_s, H)$  where  $H$  is the graph of a homomorphism  $W_r \rightarrow E_s$ . But it is easy to check that this pair is equivalent to  $(\tilde{E}_{2r} \times E_s, W_r \times \{e\})$ , so  $r_n(G, H) = r_+(G, H)$  by Theorem 2.12.

## 9. ESSENTIAL PAIRS AND FINAL REMARKS

**9.1. Essential pairs.** If one wishes to systematically try to prove that  $r_n(G, H) = r_+(G, H)$  for all  $H < G$ , one can focus on potential minimal counterexamples. By pulling back actions through quotient maps, these must be pairs as in the following definition.

**Definition 9.1.** If  $H$  is a subgroup of a finite  $p$ -group  $G$ , say  $(G, H)$  is an *essential pair*, if  $r_+(G, H) > r_+(G/N, HN/N)$  for all nontrivial normal subgroups  $N \triangleleft G$ .

Clearly if  $(G, H)$  is essential, it is necessary that  $H$  contain no nontrivial normal subgroups of  $G$ , so, in particular,  $H \cap Z(G) = \{e\}$ . Also, since  $r_-(G, H) = r_+(G/\Phi(G), H\Phi(G)/\Phi(G))$ , we see that if  $(G, H)$  is essential, then either  $r_-(G, H) < r_+(G, H)$  or  $\Phi(G)$  is trivial (i.e.,  $G$  is elementary abelian).

To easily identify essential pairs, the following lemma is useful.

**Lemma 9.2.**  $(G, H)$  is essential if  $r_+(G, H) > r_+(G/C, HC/C)$  for all central subgroups  $C < G$  of order  $p$ .

*Proof.* We prove the lemma in its contrapositive form.

The group  $G$  acts on any normal subgroup  $N$  by conjugation, and the fixed point set identifies with  $N \cap Z(G)$ . As  $G$  is a  $p$ -group, the number of fixed points must be congruent to 0 mod  $p$ . As  $e \in N$  is clearly fixed, we conclude that  $N \cap Z(G)$  is nontrivial, and thus  $N$  contains a central subgroup  $C$  of order  $p$ .

Since  $r_+(G, H) \geq r_+(G/C, HC/C) \geq r_+(G/N, HN/N)$  holds in general, if  $r_+(G, H) = r_+(G/N, HN/N)$  then  $r_+(G, H) = r_+(G/C, HC/C)$ .  $\square$

**Examples 9.3.** The only essential pairs  $(G, H)$  with  $G$  abelian are the pairs  $(E_r, \{e\})$ , for  $r \geq 1$ .

**Examples 9.4.** At the prime 2, the pairs  $(\tilde{E}_{2r} \times E_s, W_r \times \{e\})$  are essential, and, up to equivalence, there are no other essential pairs  $(G, H)$  with  $G$  in the family of groups for which Theorem 2.13 applies.

**Examples 9.5.** If  $p$  is odd, let  $\tilde{E}_{2r}$  denote the extraspecial group of order  $p^{1+2r}$  having exponent  $p$ . Just as in the  $p = 2$  case, we let  $W_r$  denote any elementary abelian  $p$ -subgroup of rank  $r$  that doesn't contain the center. Then  $(\tilde{E}_{2r} \times E_s, \{e\})$  is essential, with

$$r_-(\tilde{E}_{2r} \times E_s, \{e\}) = 2r + s < 1 + 2r + s = r_+(\tilde{E}_{2r} \times E_s, \{e\}),$$

as are the pairs  $(\tilde{E}_{2r} \times E_s, W_r \times \{e\})$ , with

$$r_-(\tilde{E}_{2r} \times E_s, W_r \times \{e\}) = r + s < 1 + r + s = r_+(\tilde{E}_{2r} \times E_s, W_r \times \{e\}).$$

In the appendix, we include tables of all essential pairs  $(G, H)$  with  $G$  a nonabelian 2-group of order up to 32. Here we highlight a few of these that we feel are the pairs that need to be understood if any more significant progress is to be made on the Chromatic Smith Theorem problem.

**Example 9.6.** Let  $G$  be the group with GAP label 16 #3. This is a semidirect product  $C_2^2 \rtimes C_4$ , with  $C_4$  acting on  $C_2^2$  via the quotient  $C_4 \twoheadrightarrow C_2$ . It also fits into a central extension

$$C_2 \rightarrow G \rightarrow C_2 \times C_4,$$

so the group is ‘almost’ in our family of friendly groups dealt with in Theorem 2.13, but not quite.

Then  $(G, \{e\})$  is essential,  $r_-(G, \{e\}) = 2$  and  $r_+(G, \{e\}) = 3$ .

**Example 9.7.** Let  $M_2(4)$  be the group with GAP label 16 #6. This fits into a central extension

$$C_4 \rightarrow M_2(4) \rightarrow C_2 \times C_2,$$

and also a central extension

$$C_2 \rightarrow M_2(4) \rightarrow C_2 \times C_4,$$

so again the group is almost, but not quite, in our family of friendly groups.

Let  $C < M_2(4)$  be any of the noncentral subgroups of order 2, e.g. GAP subgroup #3. Then  $(M_2(4), C)$  is essential,  $r_-(M_2(4), C) = 1$  and  $r_+(M_2(4), C) = 2$ .

It is interesting to note that, though  $(M_2(4), C)$  is essential,  $(M_2(4) \times C_2, C \times \{e\})$  is not, as the calculation in Example 5.15 shows.

**Example 9.8.** The dihedral group  $D_{16}$  has GAP label 16 #7. Then  $Z(D_{16}) = C_2 < C_4 = D'_{16} = \Phi(D_{16})$ , so  $D_{16}$  can be written as a noncentral extension

$$C_4 \rightarrow D_{16} \rightarrow C_2 \times C_2.$$

Let  $C < D_{16}$  be any of the noncentral subgroups of order 2, e.g. GAP subgroup #3. Then  $(D_{16}, C)$  is essential,  $r_-(D_{16}, C) = 1$  and  $r_+(D_{16}, C) = 3$ .

Our last example illustrates how complicated things become as one examines groups of order 32.

**Example 9.9.** Let  $G$  be the group with GAP label 32 #6. This is a semidirect product  $C_2^3 \rtimes C_4$ , with  $C_4$  acting faithfully on  $C_2^3$ .

Then  $(G, H)$  is essential when  $H$  is any of the inequivalent subgroups with GAP number #3,9,14,19,24.

**9.2. The limitations of our  $\mathbb{RP}(\omega)$  and  $L_p(\omega)$  constructions.** It is worth pondering why we are able to prove interesting lower bound theorems using the  $\mathbb{RP}(\omega)$  and  $L_p(\omega)$  constructions, and how these theorems are limited.

Informally, the fixed point formulae for  $\mathbb{RP}(\omega)^G$  shows that there is a mod 2 cohomology class for each 1-dimensional real representation in  $\omega$ , and these are arranged in ‘piles’ corresponding to the distinct representations. When one considers  $\mathbb{RP}(\omega)^H$ , these piles get ‘stacked up’ when distinct representations becomes the same when restricted to  $H$ , and there are new classes coming from higher dimensional irreducible summands of  $\omega$  that have 1-dimensional summands when restricted to  $H$ .

In the proof of the elementary abelian lower bound, Theorem 2.9, the action of the Milnor  $Q_m$ ’s on the piles align just right to show that  $r_-(G, H) \leq r_n(G, H)$ . To do better, we need  $k_m(\mathbb{RP}(\omega)^H)$  to be made smaller, and this means that we need some new 1-dimensional  $H$ -representations to cancel some of those pulled back from  $G$ , via the operation  $Q_m$ . Since, as a function of  $d$ ,  $k_m(\mathbb{RP}(\mathbb{R}^d))$  goes up and down only by 1 once  $d$  is large, we see that  $|H/\Phi(H)|$ , the number of 1-dimensional representation of  $H$ , is the most that we can lower  $k_m(\mathbb{RP}(\omega)^H)$ , by adding higher dimensional irreducible  $G$ -representations to  $\omega$ . When one ponders the numbers, it becomes clear that this is not enough of a change to prove more than  $r_-(G, H) + 1 \leq r_n(G, H)$ .

In the odd prime situation, the situation is even worse: a 1-dimensional complex representation of  $H$  contributes both an odd and an even dimensional class to the mod  $p$  cohomology of  $L_p(\omega)^H$ , and if we add such a pair like this coming from a new 1-dimensional  $H$ -representation,  $Q_m$  may pair an old odd class with the new even class, but the new odd class will still be left. Otherwise said,  $k_m(L_p(\mathbb{C}^d))$  is constant once  $d$  is large, and we can never use this method to prove more than  $r_-(G, H) \leq r_n(G, H)$ .

#### APPENDIX A. ESSENTIAL PAIRS $(G, H)$ WITH $|G| \leq 32$

##### Essential Pairs for Nonabelian Groups of Order 8 and 16

$G$	[GAP label]	$H$	[GAP subgroup]	$r_-(G, H)$	$r_+(G, H)$	$r_n(G, H)$
$D_8$	[8, 3]	$C_2$	[3]	1	2	2
$C_2^2 \rtimes C_4$	[16, 3]	$\{e\}$	[1]	2	3	
$M_4(2)$	[16, 6]	$C_2$	[3]	1	2	
$D_{16}$	[16, 7]	$C_2$	[3]	1	3	$\geq 2$
$SD_{16}$	[16, 8]	$C_2$	[3]	1	3	$\geq 2$
$C_2 \times D_8$	[16, 11]	$C_2$	[3]	2	3	3



**Essential Pairs for Nonabelian Groups of Order 32**

$G$	[GAP label]	$H$ [GAP subgroup]	$r_-(G, H)$	$r_+(G, H)$	$r_n(G, H)$
$C_2^3 \rtimes C_4$	[32, 6]	$C_2$ [3], $C_2^2$ [14], $C_2^2$ [19]	2, 2, 1	3, 3, 2	
		$C_2$ [9], $C_4$ [24]	1, 1	3, 3	$\geq 2, \geq 2$
$C_4 \cdot D_8$	[32, 7]	$C_2$ [3]	1	3	$\geq 2$
		$C_2$ [7], $C_2^2$ [20]	2, 1	3, 2	
$C_4 \wr C_2$	[32, 11]	$C_2$ [3]	1	3	$\geq 2$
		$C_2$ [7]	2	3	
$M_5(2)$	[32, 17]	$C_2$ [3]	1	2	
$D_{32}$	[32, 18]	$C_2$ [3]	1	4	$\geq 2$
$SD_{32}$	[32, 19]	$C_2$ [3]	1	4	$\geq 2$
$C_2 \times (C_2^2 \rtimes C_4)$	[32, 22]	{e} [1]	3	4	
$C_2^2 \wr C_2$	[32, 27]	{e} [1], $C_2^2$ [46]	3, 1	4, 3	
		$C_2$ [7]	2	4	$\geq 3$
$C_4^2 \rtimes C_2$	[32, 33]	$C_2$ [5]	2	3	
$C_4 \rtimes D_8$	[32, 34]	$C_2$ [5]	2	4	$\geq 3$
$C_2 \times D_{16}$	[32, 39]	$C_2$ [3]	2	4	$\geq 3$
$C_2 \times QD_{16}$	[32, 40]	$C_2$ [3]	2	4	$\geq 3$
$C_8 \times C_2^2$	[32, 43]	$C_2$ [3], $C_2^2$ [28]	2, 1	4, 3	$\geq 3, \geq 2$
$C_2^2 \times D_8$	[32, 46]	$C_2$ [3]	3	4	4
$D_8 \circ D_8$	[32, 49]	$C_2^2$ [32]	2	3	3

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